

Hybrid NWP-Machine Learning or End-to-End ML?

Massimo Bonavita

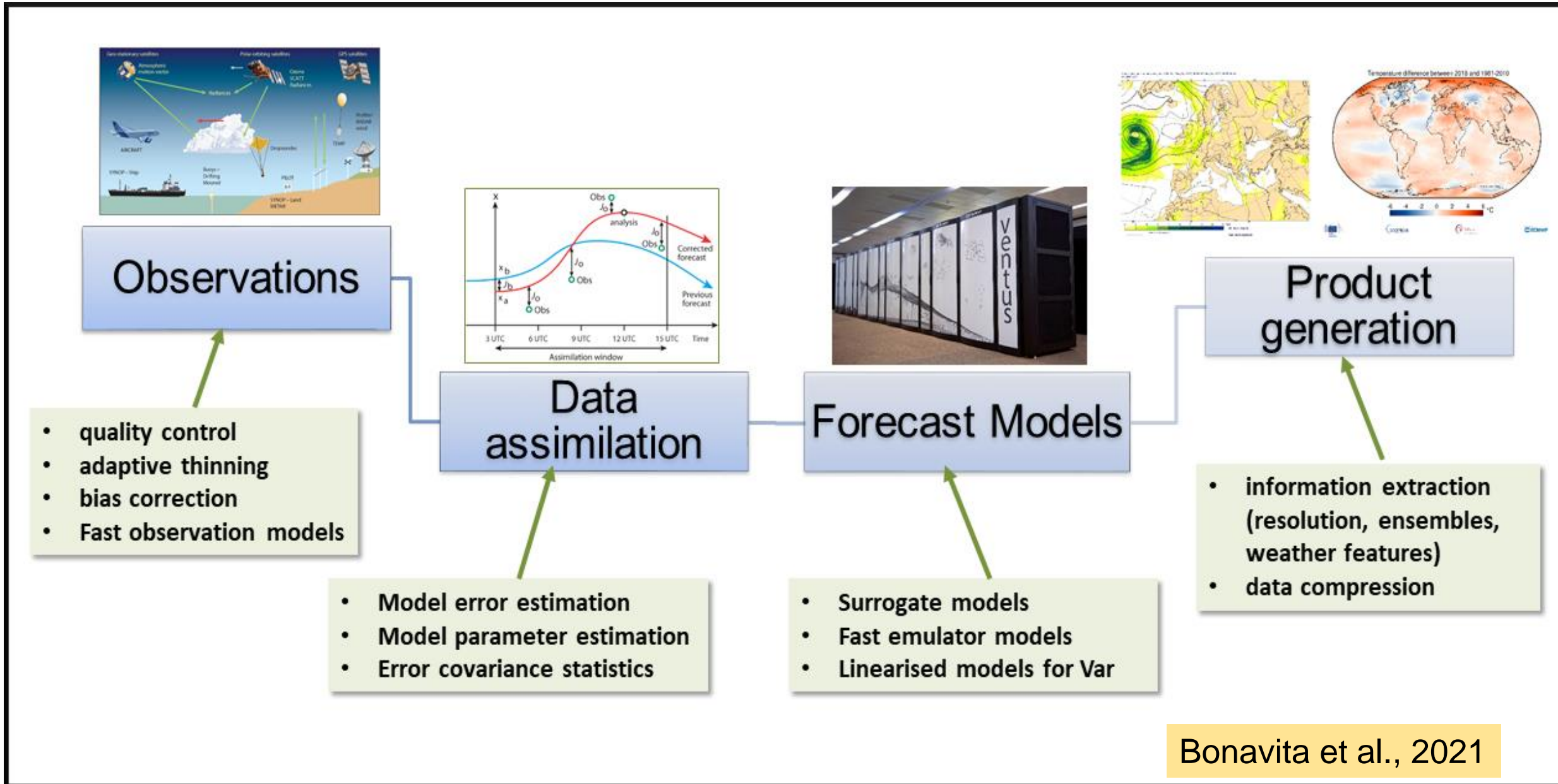
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The age of innocence...



A brave New World: Machine Learning Weather Prediction

- ML models for medium/extended-range weather prediction, mostly trained on ERA5 reanalysis
- Field started with Dueben and Bauer, 2018, attempt at forecasting low-resolution Z500 field as an image-to-image problem, results not too exciting
- Turning point: Keisler, 2022, multiple vertical levels (13), higher resolution (1deg), Graph NN. Results comparable to GFS, ECMWF
- Floodgates open: FourCastNet (NVIDIA, Pathak et al., 2022), Pangu-weather (Huawei, Bi et al., 2022), GraphCast (Google-DeepMind, Lam et al., 2022), FengWu (Academic, Chen et al., 2023)
- Each claims to outperform all previous MLWP model and all traditional physics-based NWP systems, and at a fraction of the cost!!

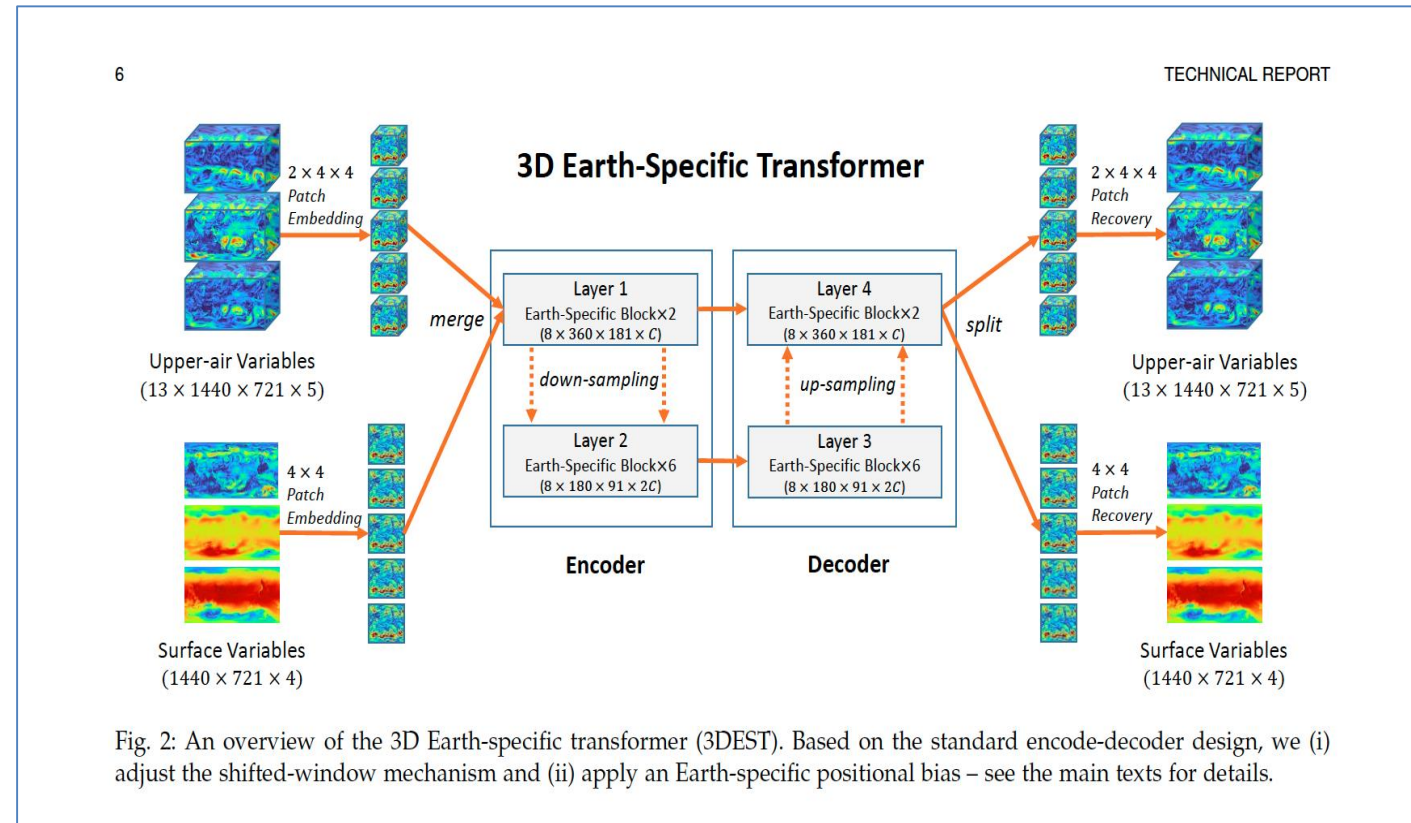


A look under the hood of MLWP models

- Some of these DLWP models are accessible on public repositories
- This allows to test some of the claims in the literature and explore the characteristics of their NWP output
- ECMWF runs Pangu-Weather, FourCastNet and GraphCast on a daily basis
- Today we will look inside Pangu-Weather...

A look under the hood of DLWP models: Pangu-Weather

- Pangu-Weather is based on a variation of Transformer ML Model (e.g., ChatGPT) adapted to computer vision applications
- Trained on 43 years of ERA5 re-analyses, 13 pressure-levels (z/t/q/u/v) plus surface variables (T2m, 10u/v,mslp)
- L1-type loss function on forecast errors
- “*Hierarchical temporal aggregation*”: four individual models for 1-hour, 3-hour, 6-hour, and 24-hour prediction, combined to provide forecasts at any hourly fcst range

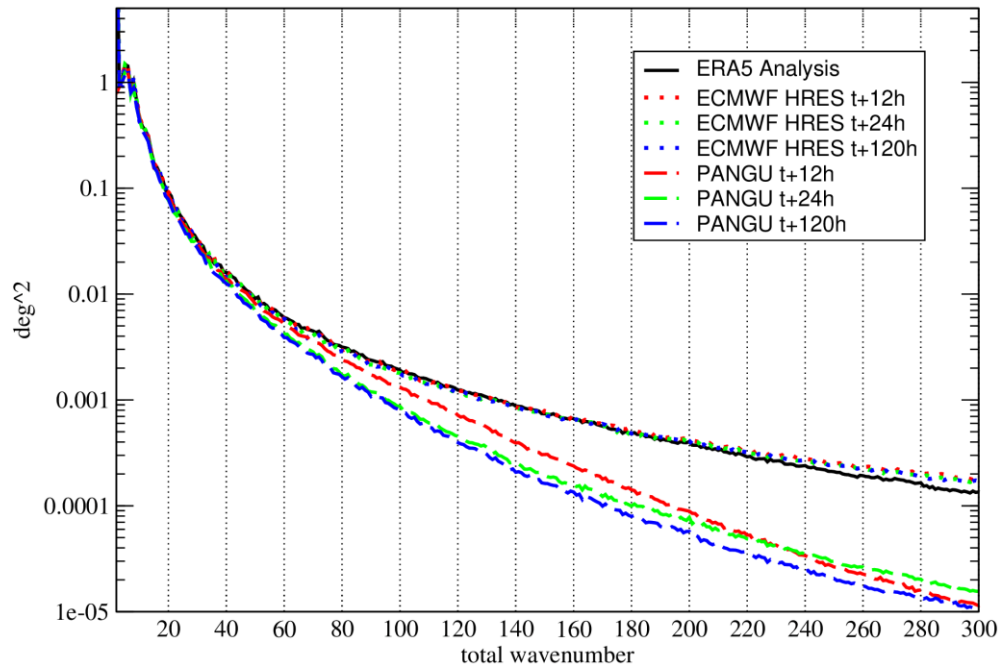


from Bi et al., 2022

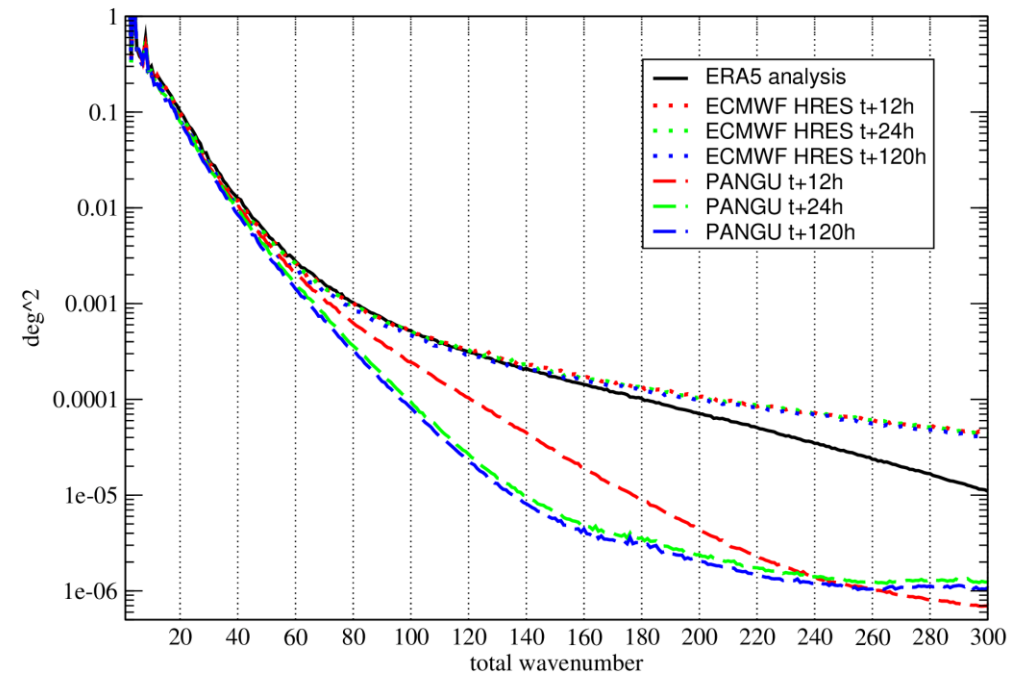
A look under the hood of DLWP models: Pangu-Weather

- Is Pangu-Weather a realistic emulator of the atmosphere?
- Let us start with a look at forecast energy spectra:

temperature @850hPa



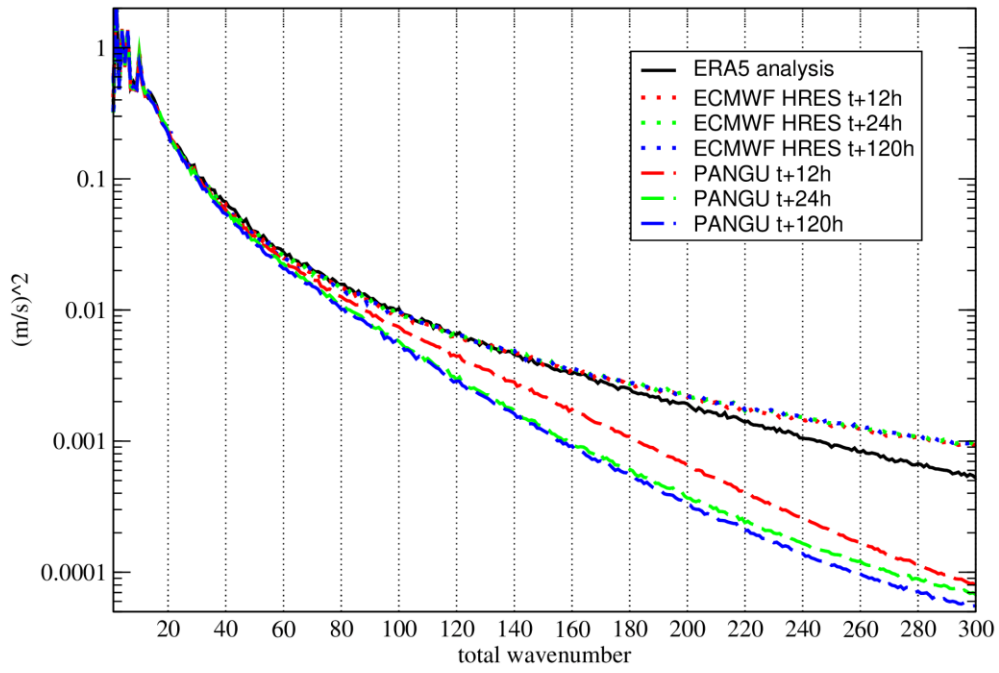
temperature @250hPa



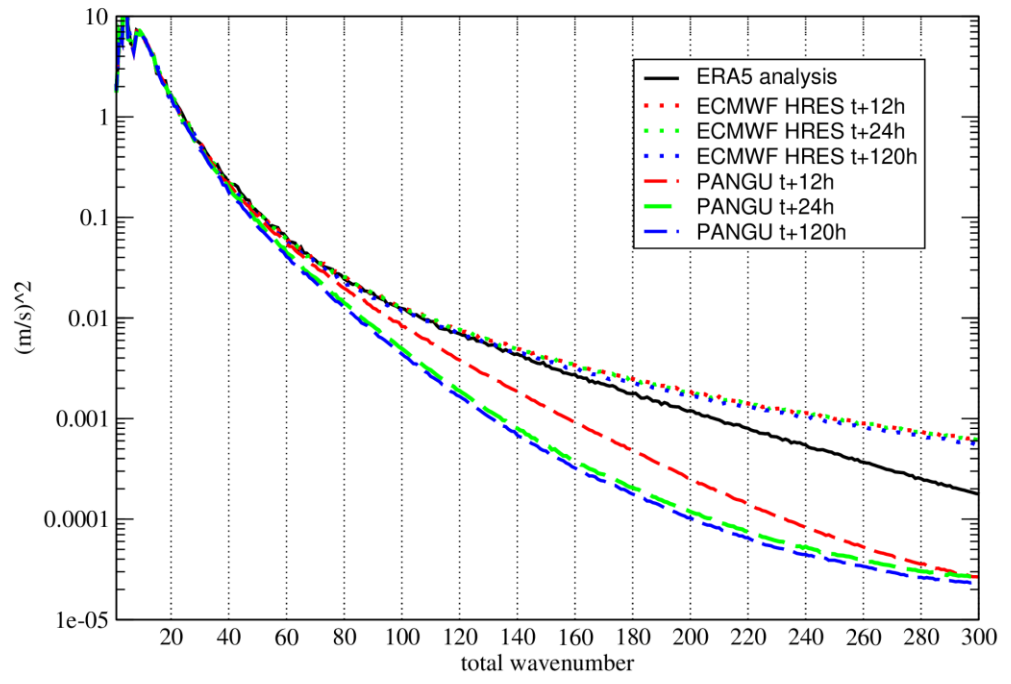
A look under the hood of DLWP models: Pangu-Weather

- Is Pangu-Weather a realistic emulator of the atmosphere?
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Wind speed @850hPa



Wind speed @250hPa



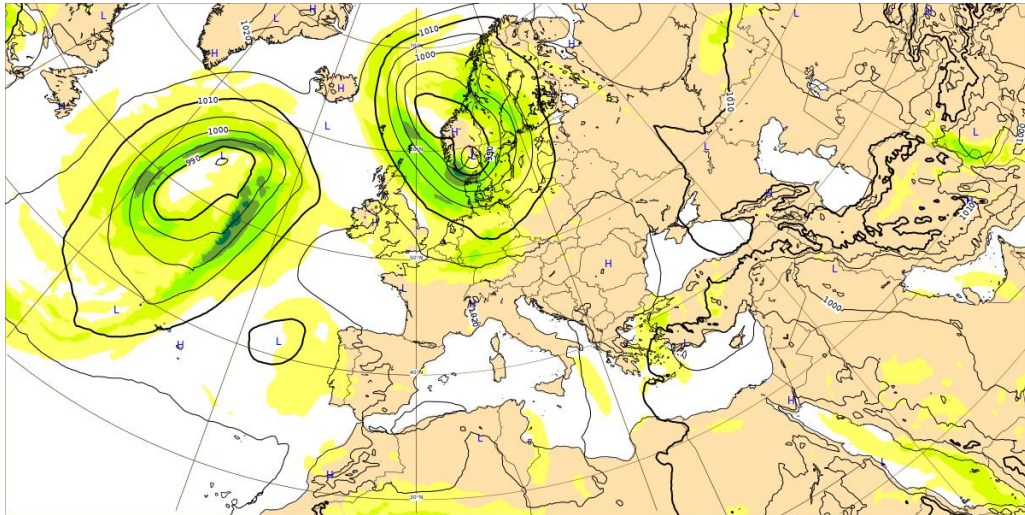
A look under the hood of DLWP models: Pangu-Weather

- Pangu-Weather forecast energy spectra are **significantly less active** than ERA5 analyses (and ECMWF operational forecasts)
- Pangu-Weather is under-active at all wavenumbers, but spatial scales smaller than **~400-500 km** are heavily suppressed
- The activity of the Pangu-Weather forecasts **decreases progressively with forecast lead time**
- Does it matter?

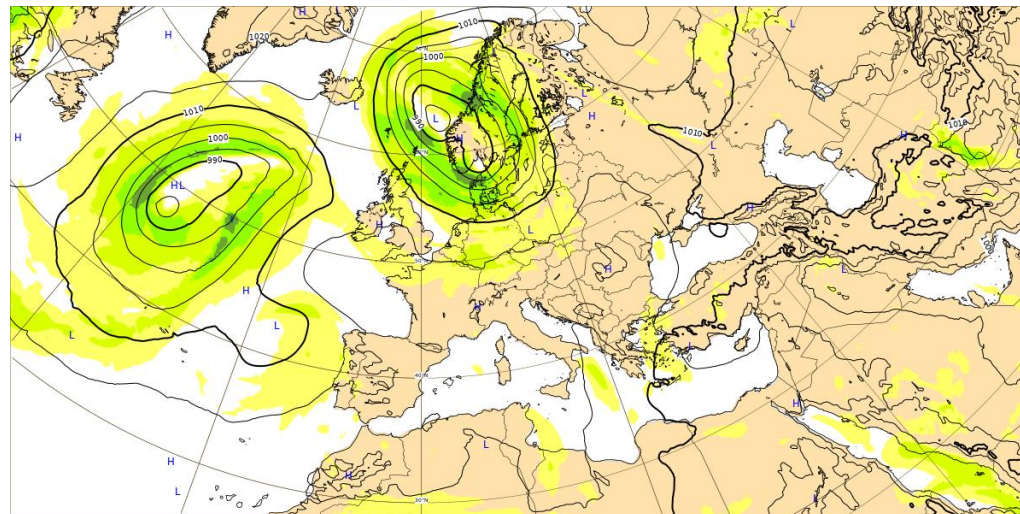
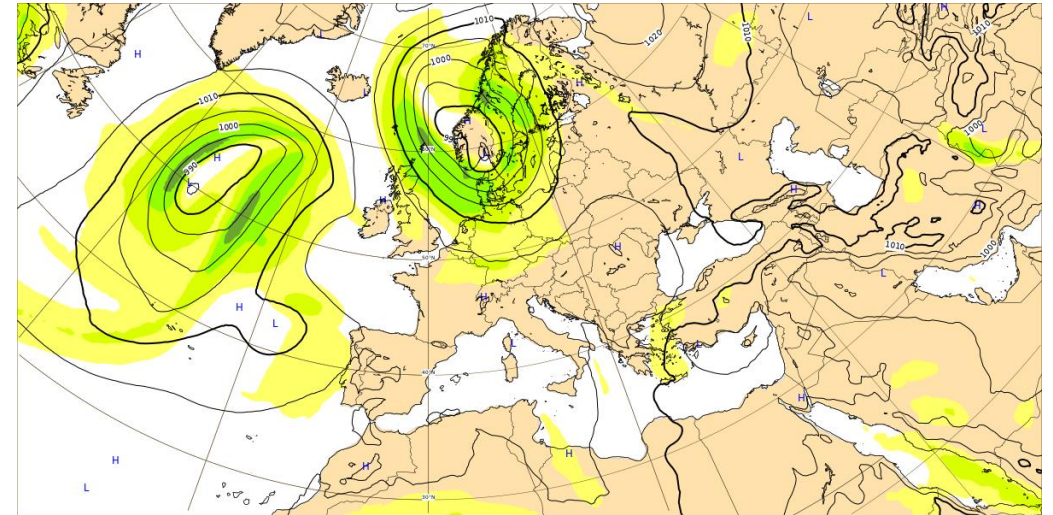
A look under the hood of DLWP models: Pangu-Weather

- If one looks at standard synoptic maps at continental scales, (almost) all seems fine!

ECMWF HRES mslp & windspeed @850 t+72h



Pangu-w mslp & windspeed @850 t+72h

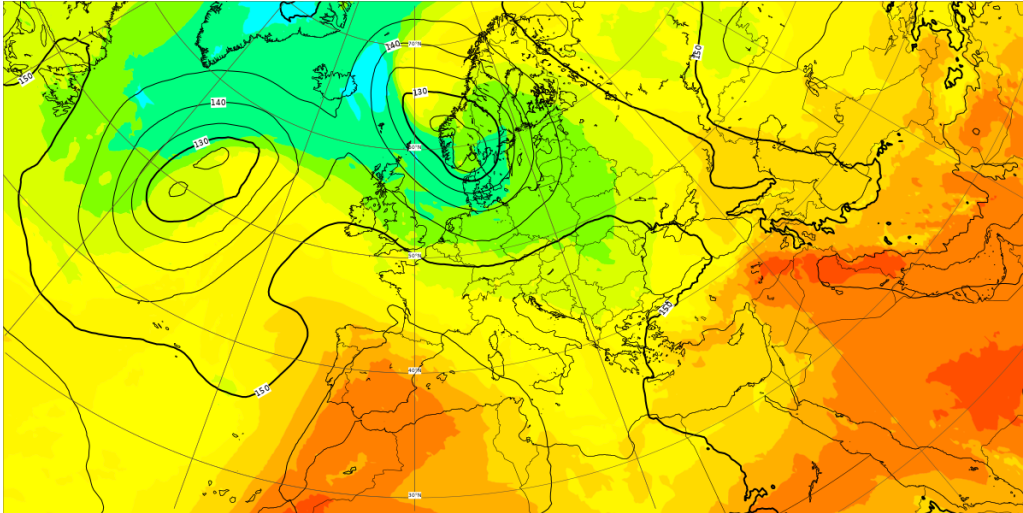


ECMWF analysis 09 Aug 2023 00Z

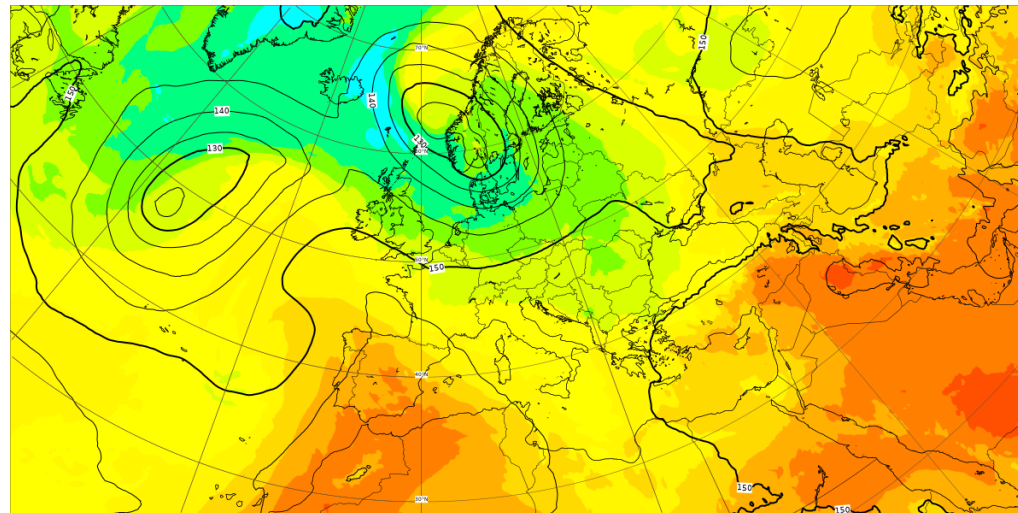
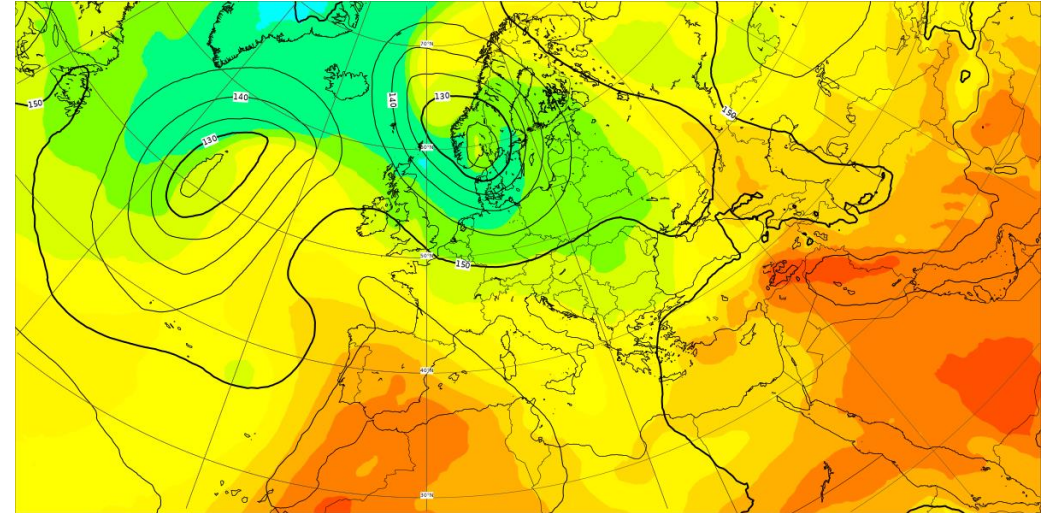
A look under the hood of DLWP models: Pangu-Weather

- If one looks at standard synoptic maps at continental scales, (almost) all seems fine!

ECMWF HRES T & GH @850 t+72h



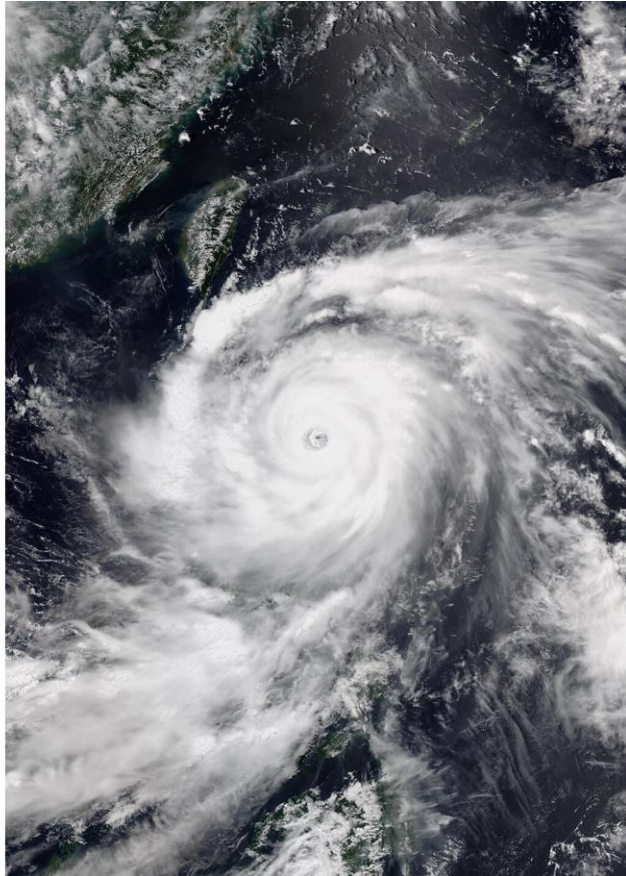
Pangu-w T & GH @850 t+72h



ECMWF analysis 09 Aug 2023 00Z

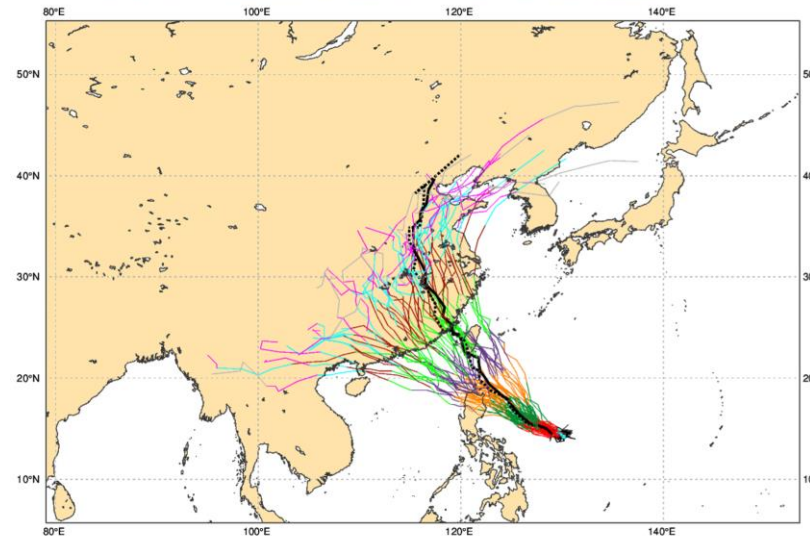
A look under the hood of DLWP models: Pangu-Weather

- It is a different story if one looks at high impact weather events where resolution matters...

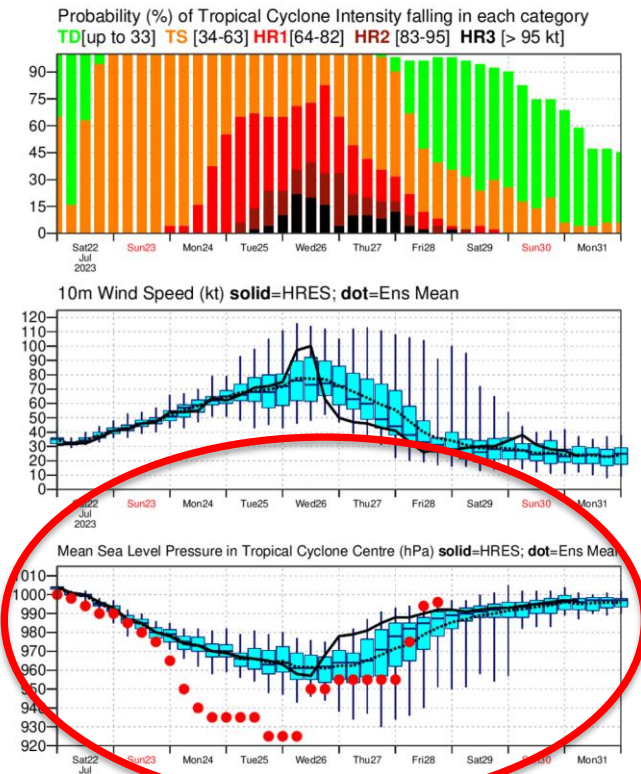


Typhoon Doksuri (Egay) of the 2023 Pacific typhoon season near its peak intensity while off the coast of Luzon during the afternoon of July 25, 2023. It had 10-min sustained winds of 175 km/h (110 mph) (JMA) and 1-min sustained winds of 230 km/h (145 mph) (JTWC) and an official minimum central pressure of 935 mbar (27.6 inHg) at the time this image was captured.

Date 20230722 00 UTC @ECMWF
 Individual trajectories for **DOKSURI** during the next 240 hours
 tracks: **thick solid**=HRES; **thick dot**=CTRL; **thin solid**=EPS members [coloured]
0-24h 24-48h 48-72h 72-96h 96-120h 120-144h 144-168h 168-192h 192-216h 216-240h



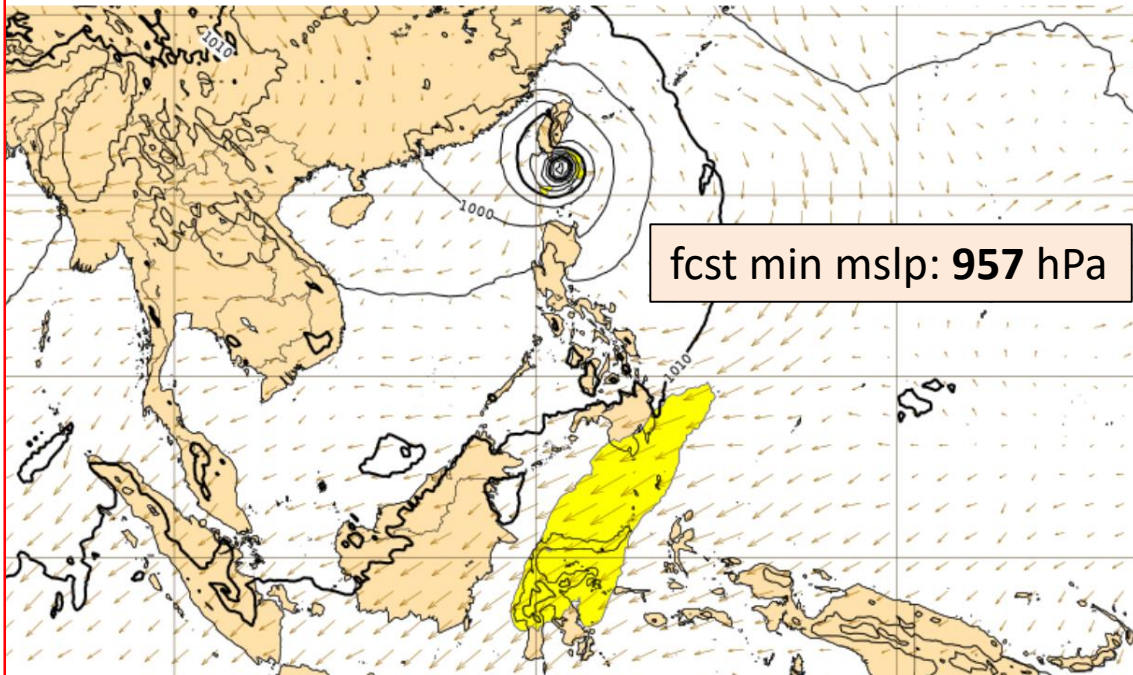
List of ensemble members numbers forecast Tropical Cyclone
 Intensity category in colours: **TD**[up to 33] **TS**[34-63] **HR1**[64-82] **HR2**[83-95] **HR3**[> 95 kt]
 -024 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -048 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -072 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -096 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -120 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -144 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -168 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -192 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
 -216 h : hr:ct 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50
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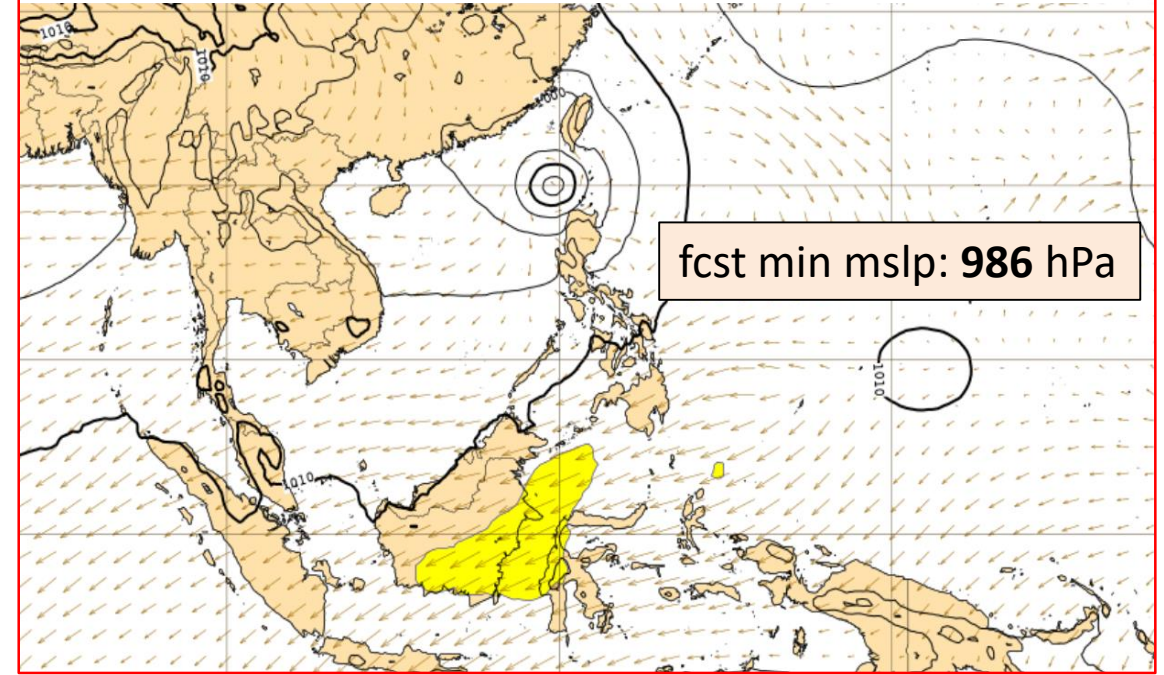
A look under the hood of DLWP models: Pangu-Weather

TC Doksuri 26 Jul 2023 12Z
Estimated Best Track min mslp: **944 hPa**

ECMWF HRES t+132h valid 26/07/23 12Z



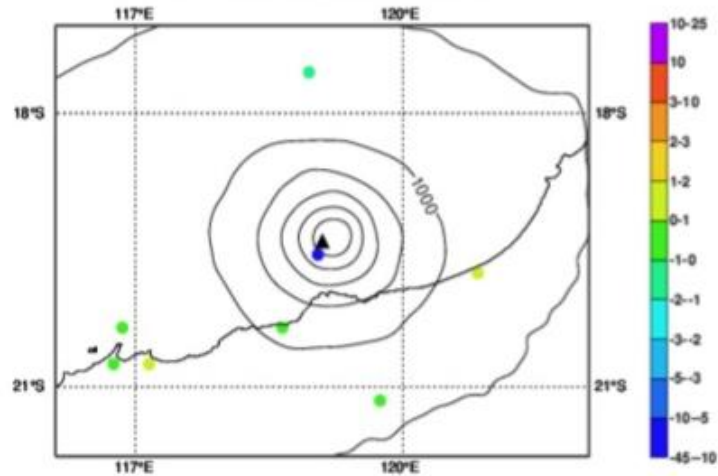
Pangu-weather t+132h valid 26/07/23 12Z



On the importance of resolution...

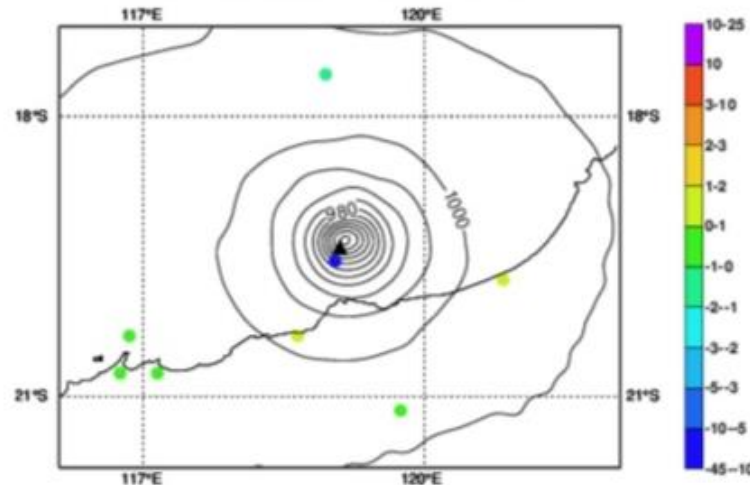
Tropical Cyclone ISLA – 13/04/2023 12UTC
Best Track estimated min MSLP **928 hPa**

Surface pressure OBS-AN (Surface Surface) hPa [All 15H to 21H]
0001 AN MSLP for 20230413 12 [ILSA(975.483125)]
[contour interval every 5 hPa/ observed position in black triangle (928)]
Mean: -1.028 StDev: 5.604 Data Count: 374



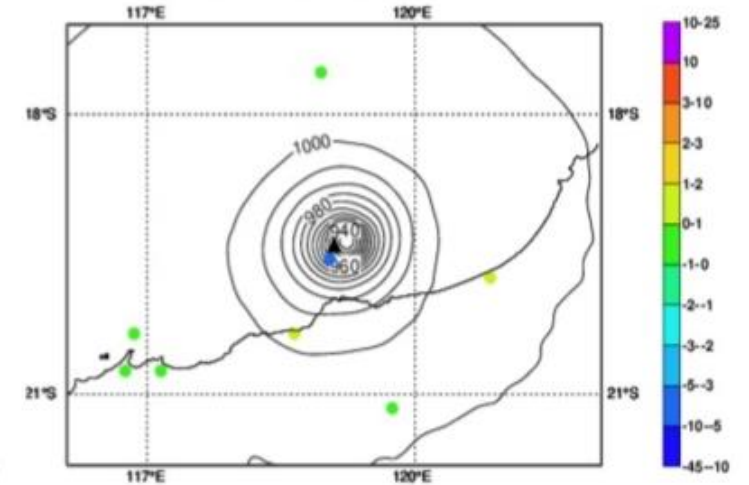
Oper 47r3 (TL399): **975.5 hPa**

Surface pressure OBS-AN (Surface Surface) hPa [All 15H to 21H]
0078 AN MSLP for 20230413 12 [ILSA(947.799375)]
[contour interval every 5 hPa/ observed position in black triangle (928)]
Mean: -0.755097 StDev: 3.84026 Data Count: 374



48r1 e-suite (TL551): **947.8 hPa**

Surface pressure OBS-AN (Surface Surface) hPa [All 15H to 21H]
010m AN MSLP for 20230413 12 [ILSA(928.5975)]
[contour interval every 5 hPa/ observed position in black triangle (928)]
Mean: -0.396601 StDev: 1.93127 Data Count: 374



4DVar TCo511: **928.6 hPa**

Zaplotnik, Bonavita and Holm, 2023

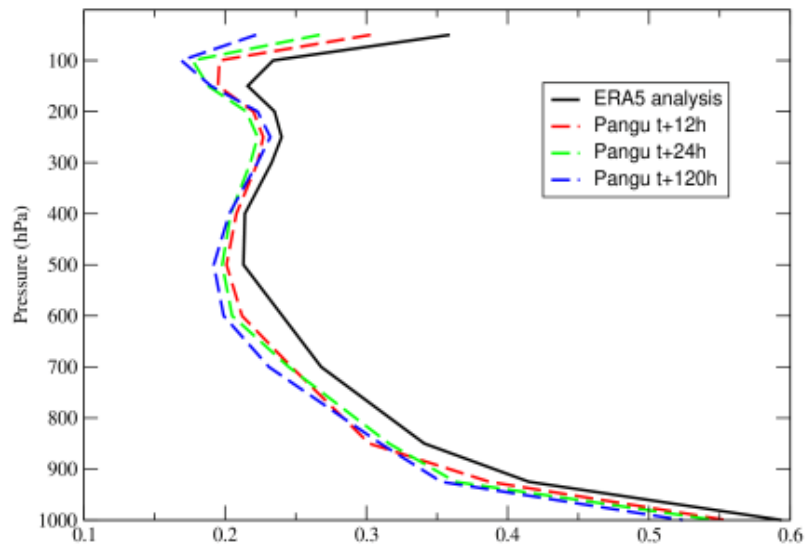
A look under the hood of DLWP models: Pangu-Weather

Unrealistic forecast energy spectra imply **dynamically inconsistent forecast** fields

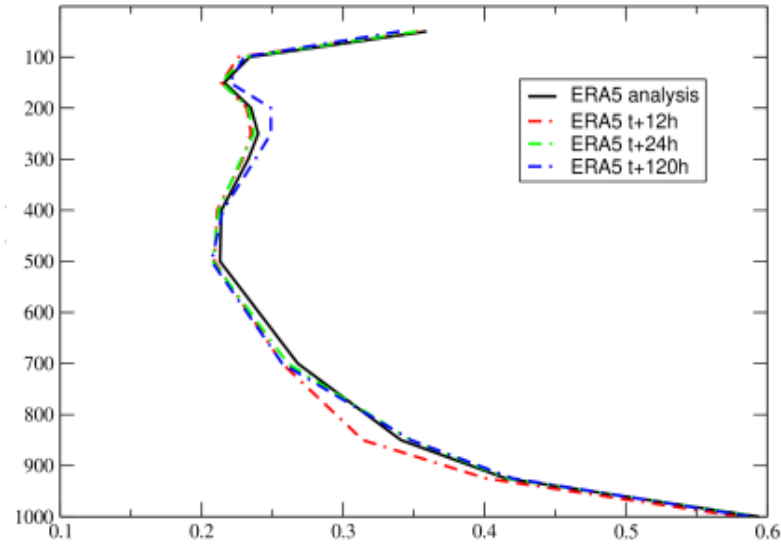
Pangu-Weather dynamical fields (1)

Geostrophic wind ($\mathbf{V}_g = \frac{1}{f} \hat{\mathbf{k}} \times \nabla_p \Phi$) vs ageostrophic wind $\mathbf{V}_{ag} \equiv \mathbf{V} - \mathbf{V}_g$

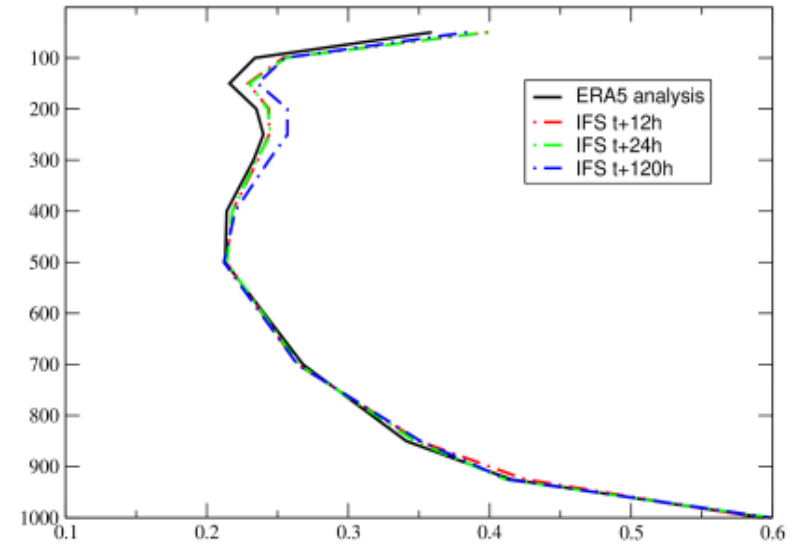
$$|\mathbf{V}_{ag}| / |\mathbf{V}_g|$$



Pangu



ERA5



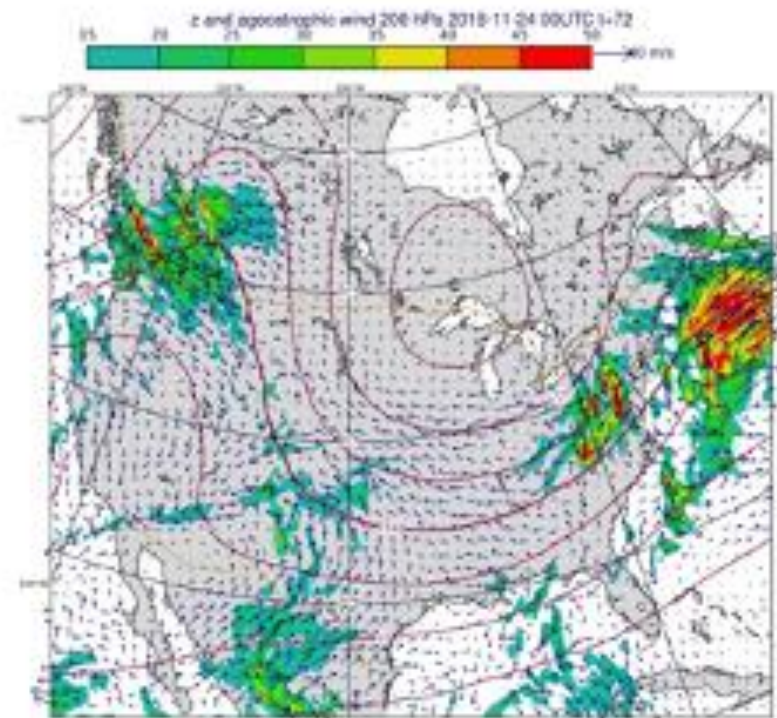
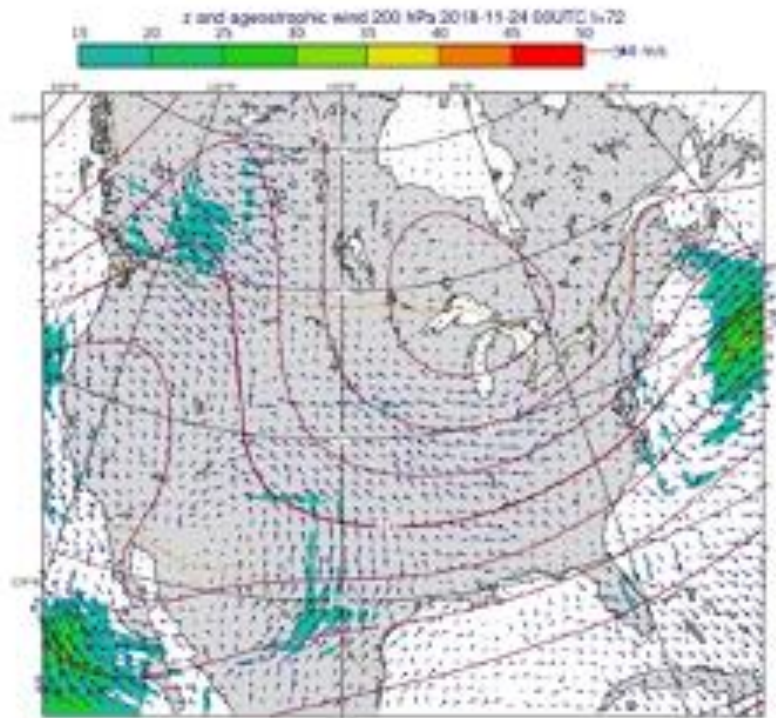
IFS

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Pangu

IFS



$|\mathbf{V}_{ag}|$

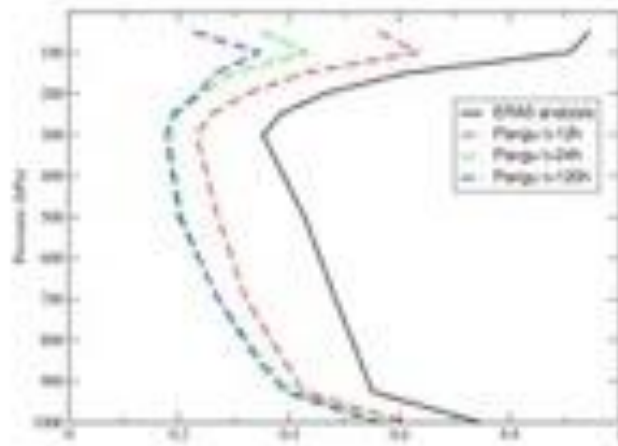
Pangu-Weather dynamical fields (2)

Vorticity and divergence decomposition of the circulation

$$\mathbf{u} = \mathbf{u}_d + \mathbf{u}_v = -\nabla\chi + \mathbf{k} \times \nabla\psi$$

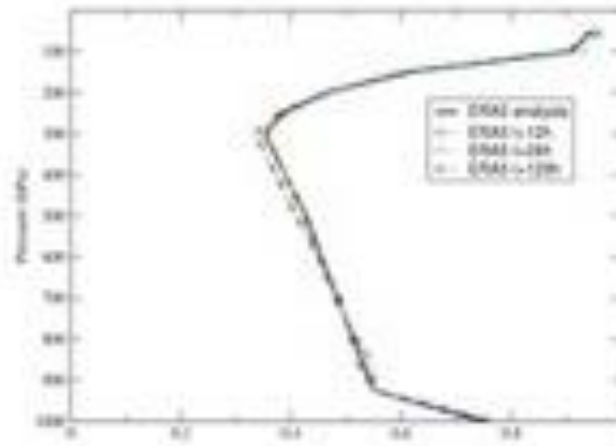
$$\nabla^2\chi = \delta, \quad \nabla^2\psi = \zeta$$

Pangu



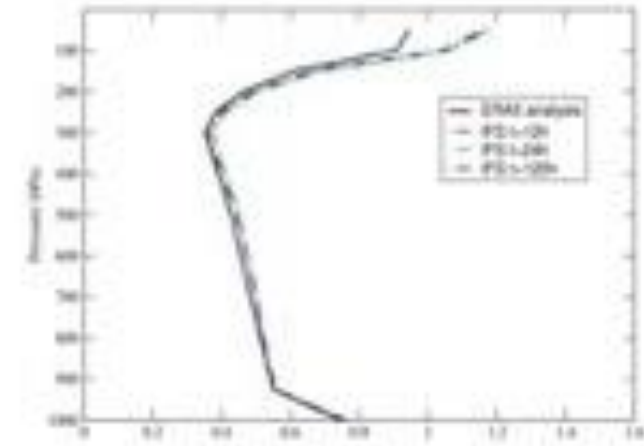
δ/ζ

ERA5-fcst



δ/ζ

IFS



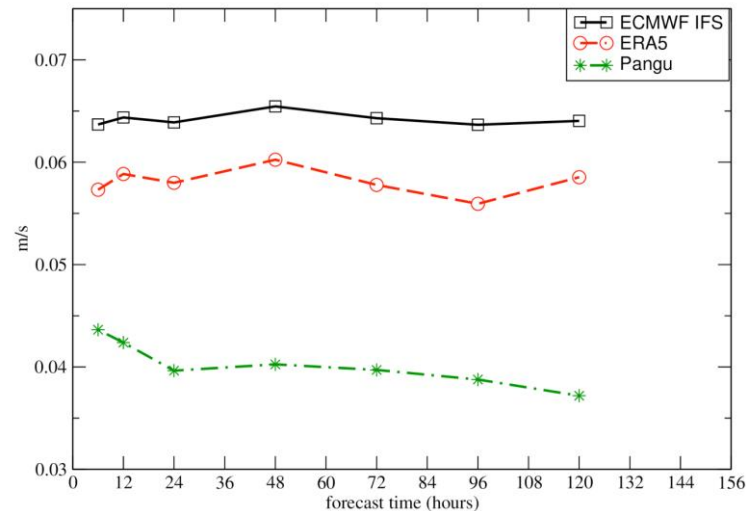
δ/ζ

Pangu-Weather dynamical fields (3)

Vertical velocity is not predicted by Pangu-Weather but can be diagnosed by integrating the continuity equation on forecasted pressure-level fields (Holton and Hakim, 2012):

$$\omega(p) = \omega(p_s) - \int_{p_s}^p \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) dp$$

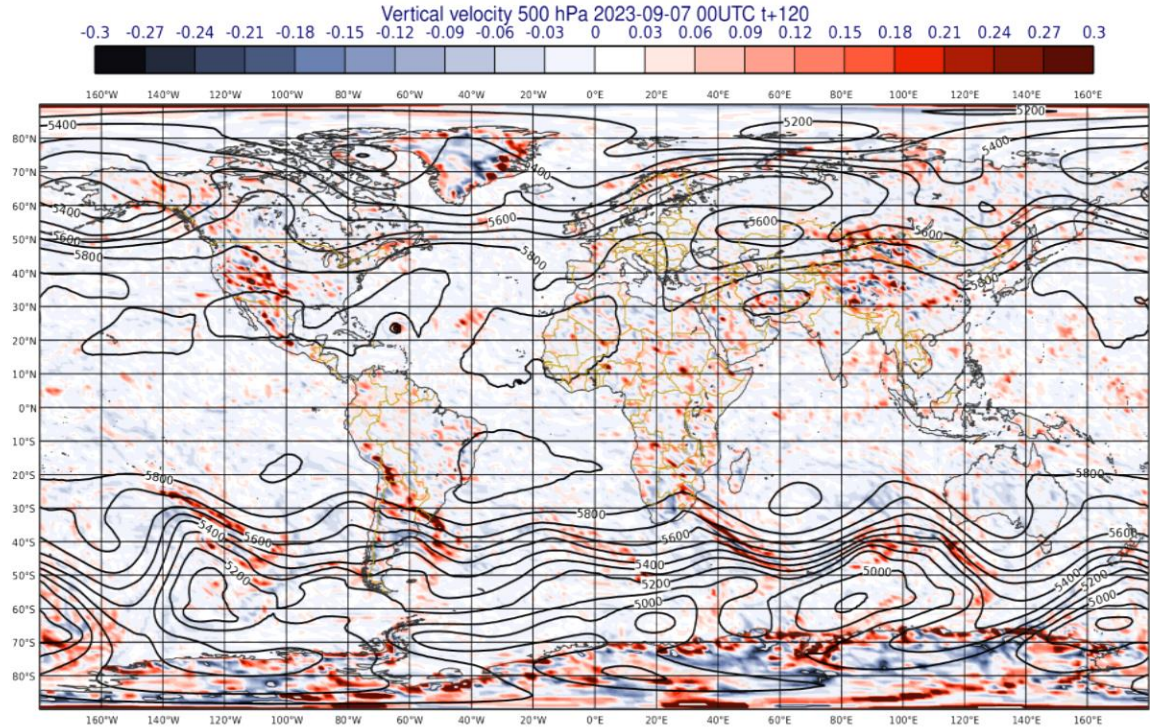
Unsurprisingly, the progressive reduction in the magnitude of the predicted divergence field leads to increasingly weak vertical velocity predictions:



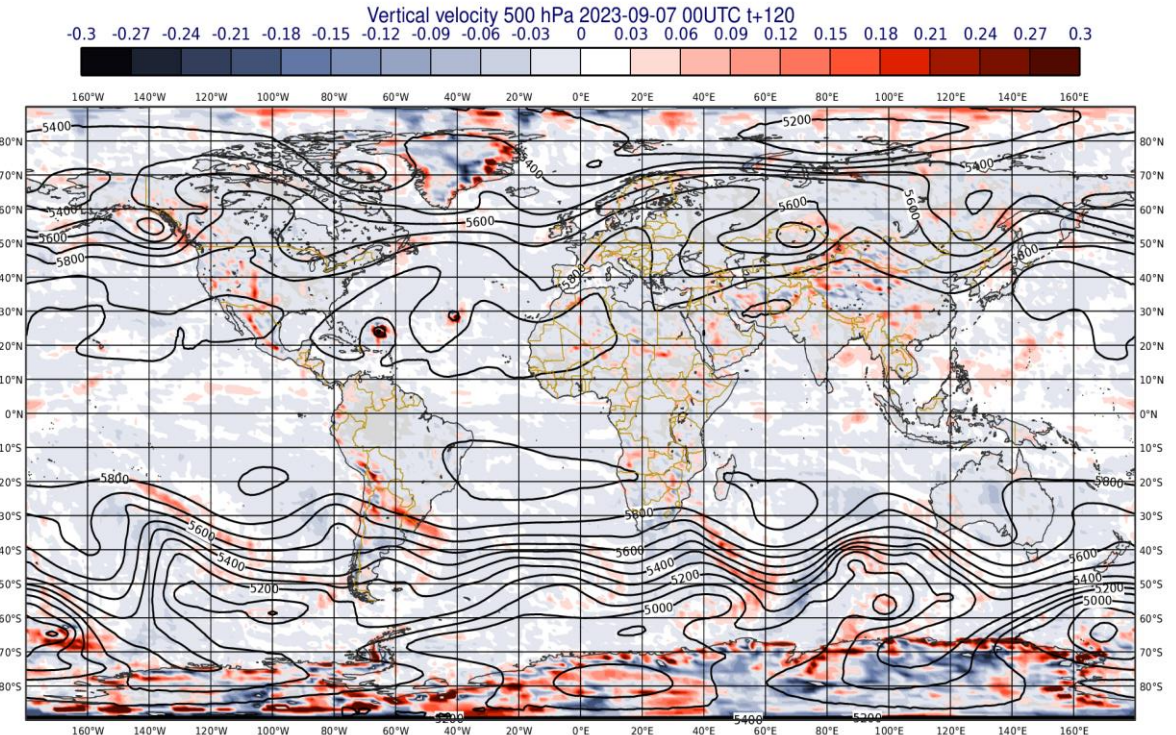
Evolution of stdev of fcst vertical velocity field at 500 hPa IFS, ERA5, Pangu

Pangu-Weather dynamical fields (3)

ERA5 fcst vert. vel. 500 hPa
2023-09-07 00UTC t+120h



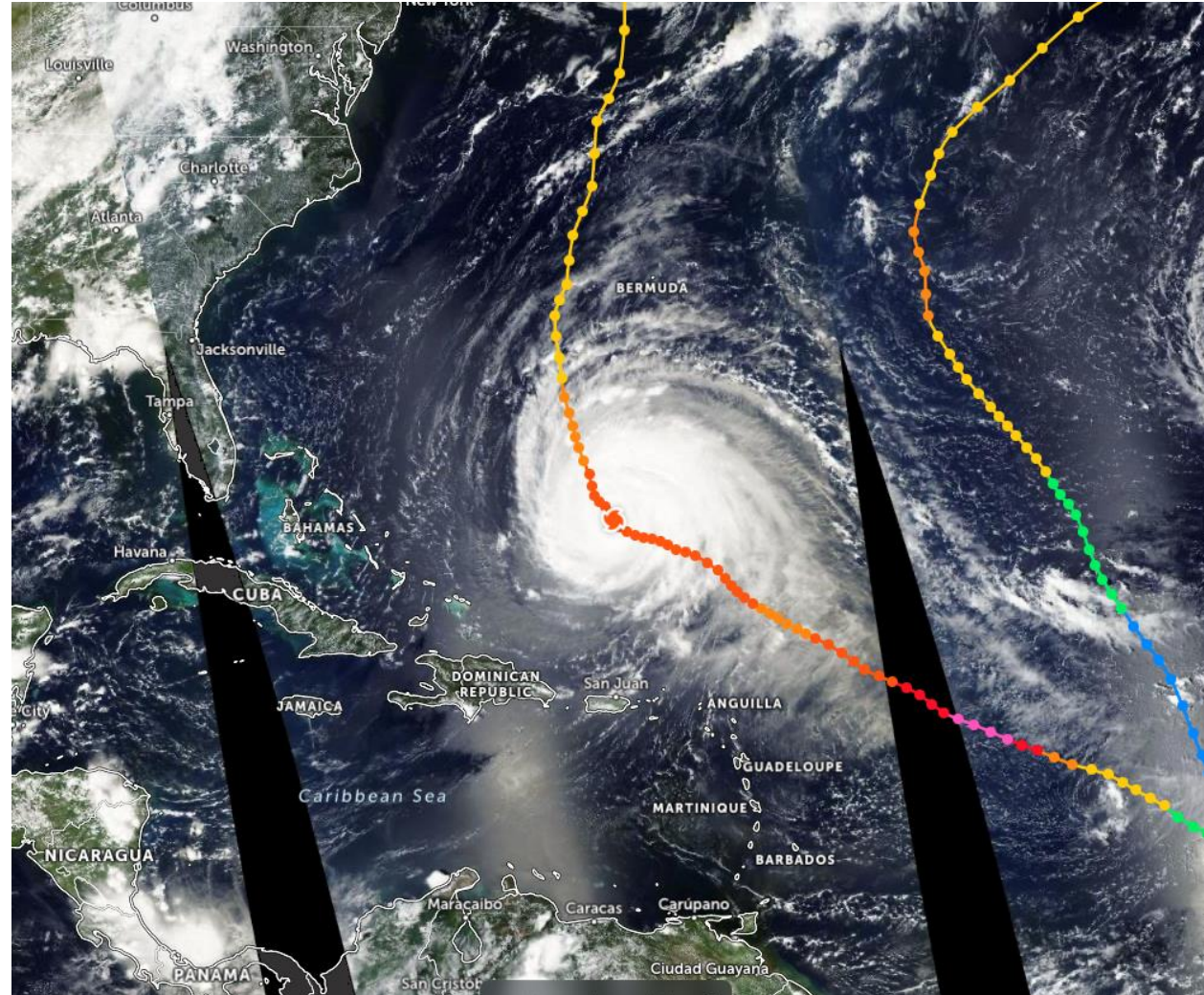
Pangu-Weather fcst vert. vel. 500 hPa
2023-09-07 00UTC t+120h



Pangu-Weather dynamical fields (3)

Hurricane Lee, 12 September 2023
01UTC

Strongest TC of the 2023 Atlantic
Season so far, Category 3 at the time



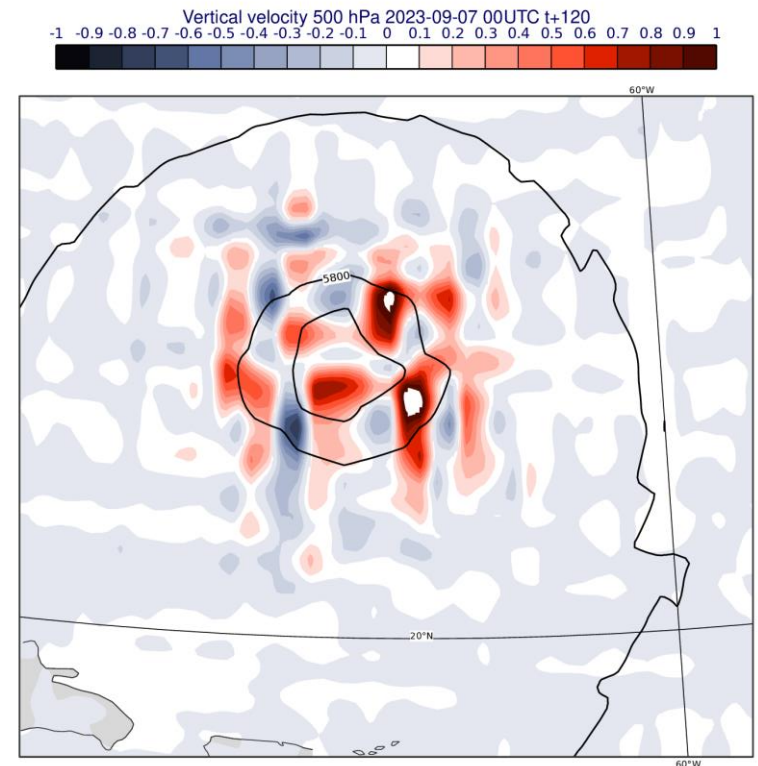
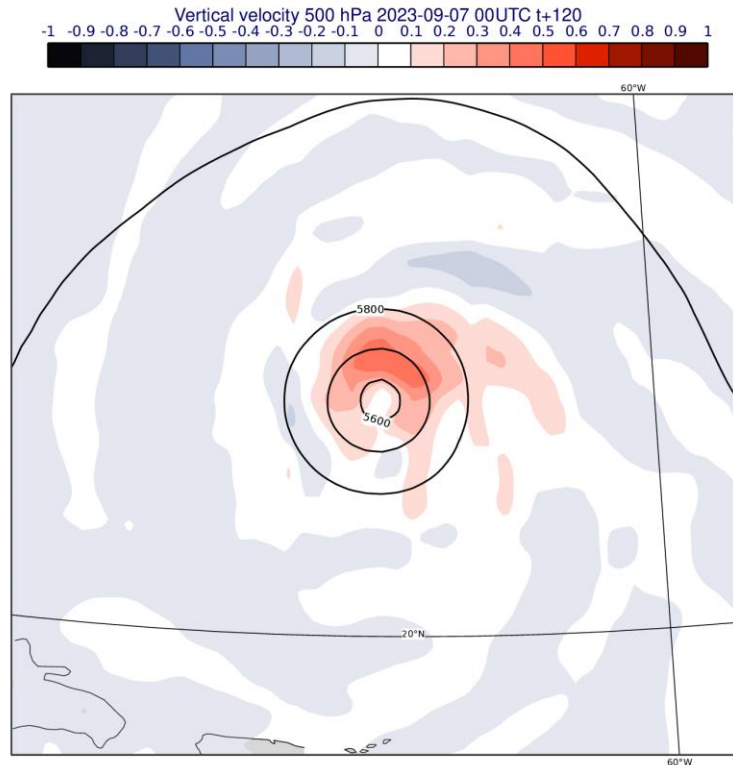
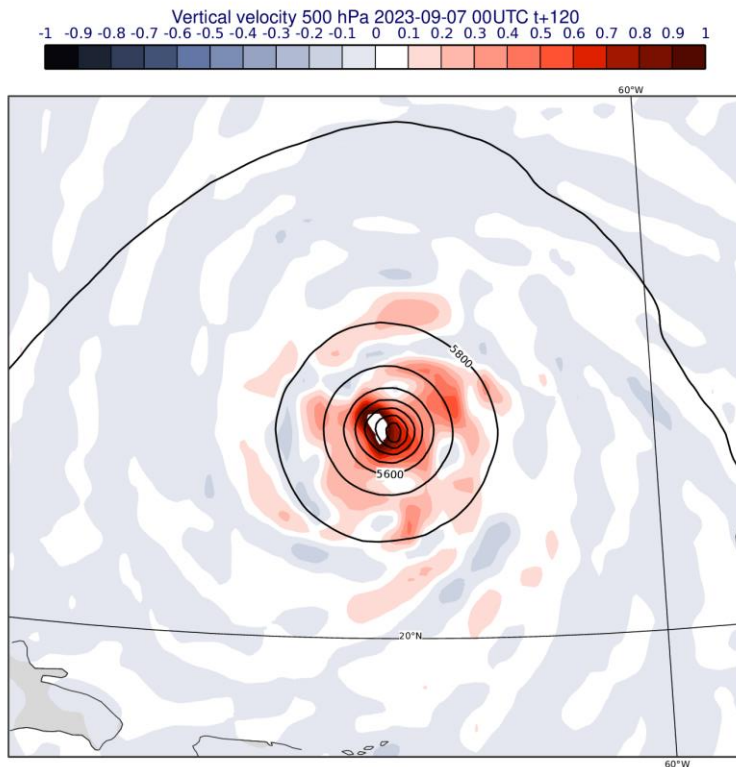
<https://zoom.earth/storms/lee-2023/#map=satellite-hd>

Pangu-Weather dynamical fields (3)

IFS fcst vert. vel. 500 hPa
2023-09-07 00UTC t+120h

ERA5 fcst vert. vel. hPa
2023-09-07 00UTC t+120h

Pangu-Weather fcst vert. vel hPa.
2023-09-07 00UTC t+120h



Conclusions

“Pangu-Weather not only ends the debate on whether AI-based methods can surpass conventional NWP methods, but also reveals novel directions for improving deep learning weather forecast systems.”

Bi et al., 2022

- Pangu-Weather and co. are trained on ERA5 re-analysis dataset by minimising a L2/L1 loss function of forecast errors. By construction, this leads to **increasingly blurry forecast fields and unrealistic forecast activity spectra**.
- It is sometimes claimed that MLWP models produce forecasts which are closer to traditional ensemble forecasts mean. Closer inspection reveals that this is not the case (Bonavita, 2023).
- While synoptic-type maps look OK, on closer inspection Pangu-Weather is shown to produce **physically inconsistent forecast fields**

Outlook

- For the next generation of MLWP models the challenge will be to produce physically consistent forecasts with realistic activity and maintain forecast skill
- For the traditional DA-NWP community the challenge is to speed up adoption of ML techniques to make traditional DA and NWP processes significantly more effective and efficient, e.g. talks by Alban and Marcin
- Too early to say which approach will prevail, but certainly things are moving at unprecedented speed!
4th ECMWF-ESA Workshop on ML for Earth Observation and Prediction, Frascati, Rome, 7-10 May 24

Bonavita, 2023: <https://doi.org/10.48550/arXiv.2309.08473>