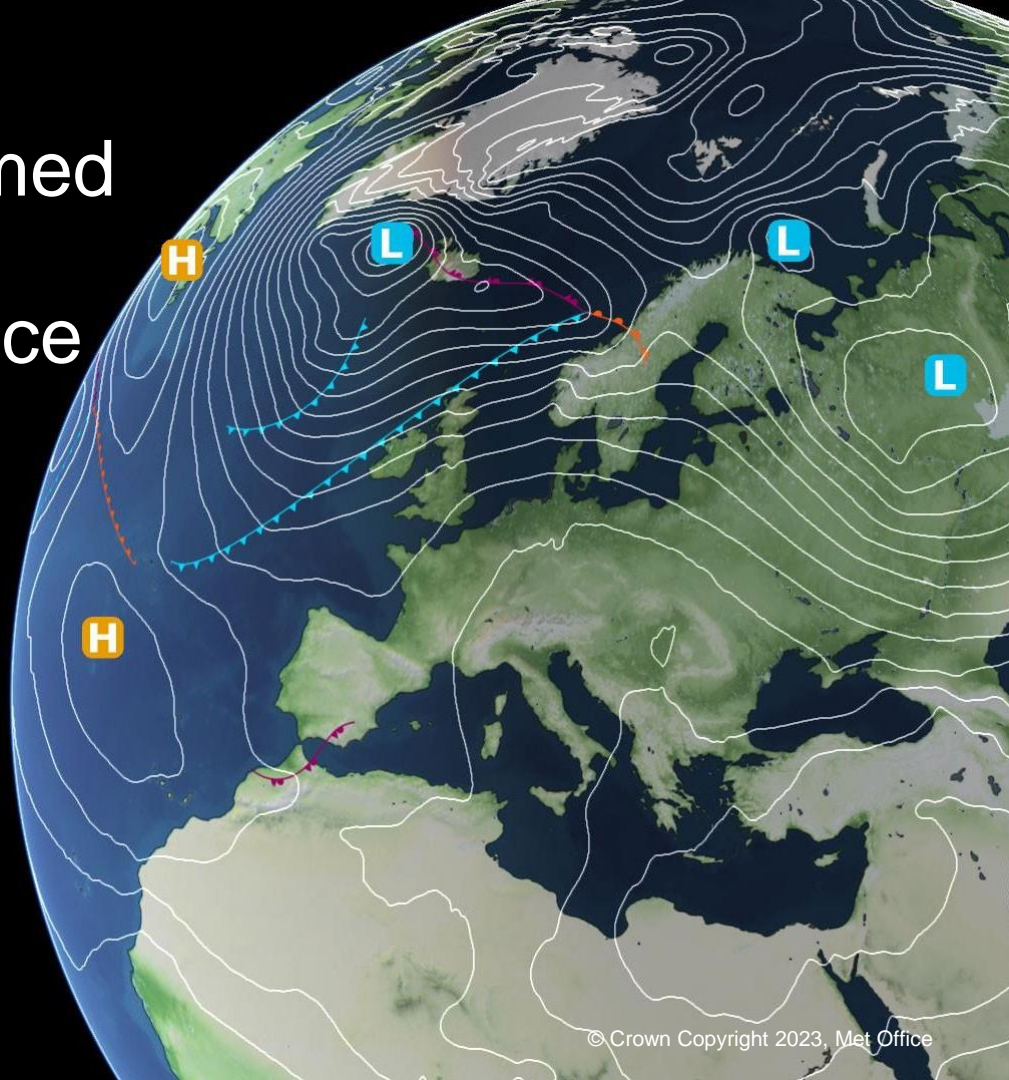
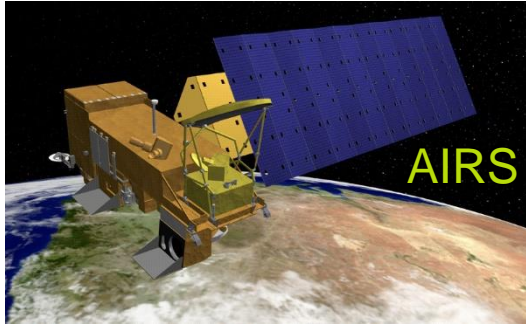


# Assimilation of Transformed Retrievals from IASI radiances at the Met Office

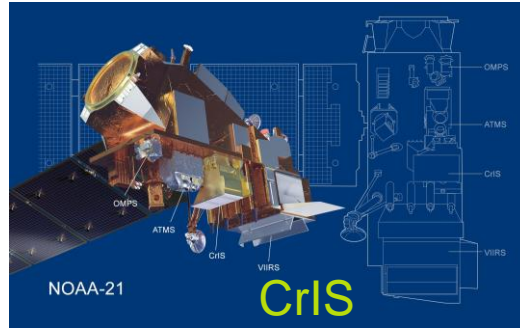
Stefano Migliorini and Peter Levens



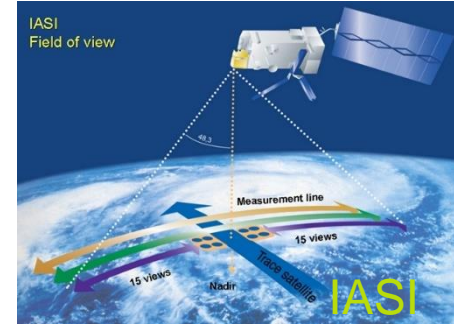
# Passive atmospheric temperature/humidity sounding: current instruments



<https://airs.jpl.nasa.gov/mission/overview/>



<https://www.nesdis.noaa.gov/our-satellites/currently-flying/joint-polar-satellite-system>



<https://www.eumetsat.int/iasi>

- A wealth of data from IR sounders available in NRT for NWP applications
- IASI: 3 satellites, 8461 channels, 30 cross-track samples with 2x2 FOVs
- AIRS: 2378 channels, 90 cross-track samples
- CrIS: 3 satellites, 1305 (NSR) / 2211 (FSR) channels, 32 cross-track samples

# Passive atmospheric temperature/humidity sounding: forthcoming instruments

- IASI-NG: 3 satellites (first launch in 2025), 16921 channels, 14 earth-viewing cross-track samples with 4x4 FOVs
- MTG-IRS: 2D detector acquires 160 x 160 spectra (1960 spectral channels) over a dwell, for 4 local area coverage (LAC) zones. Full disk acquired every 60 mins, LAC4 (Europe) every 30 mins



<https://www.eumetsat.int/mtg-infrared-sounder>



<https://www.eumetsat.int/eps-sg-iasi-ng>

# Assimilation of satellite data for NWP

- Satellite data make up for most observations that are routinely assimilated at the Met Office
- Huge amount of data are discarded due to operational/computational constraints, imperfect knowledge of spatial correlations, shortcomings in NWP models and observation operators.
- Recent work at the Met Office shows that it is possible to assimilate a reduced set of obs components – Transformed Retrievals (TRs) – and achieve comparable results as when assimilating radiances directly.

# Transformed Retrievals

- Linearized and normalized measurements

$$\hat{\mathbf{y}} = \mathbf{y}^o - H(\mathbf{x}^a) + \hat{\mathbf{H}}\mathbf{x}^a \cong \hat{\mathbf{H}}\mathbf{x}^t + \boldsymbol{\varepsilon}^o \quad \hat{\mathbf{y}}' \cong \mathbf{R}^{-1/2}\hat{\mathbf{H}}\mathbf{x}^t + \mathbf{R}^{-1/2}\boldsymbol{\varepsilon} \equiv \hat{\mathbf{H}}'\mathbf{x}^t + \boldsymbol{\varepsilon}'$$

$$\text{cov}(\boldsymbol{\varepsilon}^o) = \mathbf{R}$$

$$\text{cov}(\boldsymbol{\varepsilon}') = \mathbf{I}$$

- Projection onto signal-to-noise basis (in obs space)

$$\mathbf{S} = \mathbf{R}^{-1/2}\hat{\mathbf{H}}\mathbf{B}^{1/2} = \hat{\mathbf{H}}'\mathbf{B}^{1/2} = \mathbf{U}_r\boldsymbol{\Lambda}_r\mathbf{V}_r^T$$

$$\mathbf{y}'_{\text{ret}} = \mathbf{U}_r^T\hat{\mathbf{y}}' \quad \mathbf{H}'_{\text{ret}} = \mathbf{U}_r^T\hat{\mathbf{H}}' = \boldsymbol{\Lambda}_r\mathbf{V}_r^T\mathbf{B}^{-1/2}$$

- signal-to-noise matrix  $\mathbf{S}$ , with  $r = \text{rank}(\mathbf{S}) \leq \min(m,n)$
- $\mathbf{y}'_{\text{ret}}$  is assimilated with  $\mathbf{H}'_{\text{ret}}$

# Information content of observations

- From  $\mathbf{S}$  we can calculate degrees of freedom for signal (DFS)

$$d_s = \sum_{i=1}^r \frac{\lambda_i^2}{1 + \lambda_i^2} = \sum_{i=1}^r d_{si}$$

$$\mathbf{B} = \mathbf{\Sigma}^{1/2} \mathbf{C} \mathbf{\Sigma}^{1/2}$$

$$\tilde{\mathbf{S}} = \mathbf{R}^{-1/2} \hat{\mathbf{H}} \mathbf{\Sigma}^{1/2} = \hat{\mathbf{H}}' \mathbf{\Sigma}^{1/2} = \tilde{\mathbf{U}}_r \tilde{\mathbf{\Lambda}}_r \tilde{\mathbf{V}}_r$$

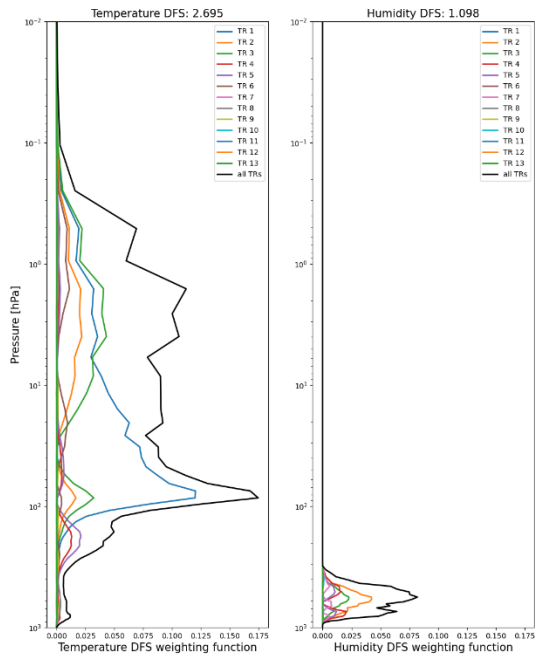
$$\tilde{d}_s = \sum_{i=1}^r \frac{\tilde{\lambda}_i^2}{1 + \tilde{\lambda}_i^2} = \sum_{i=1}^r \tilde{d}_{si}$$

- DFS weighting function (DFS<sub>wf</sub>):  $\mathbf{s}_i = \frac{d_s}{\tilde{d}_s} \tilde{d}_{si} \tilde{\mathbf{v}}_i \circ \tilde{\mathbf{v}}_i \in \mathbb{R}^{r \times n}$

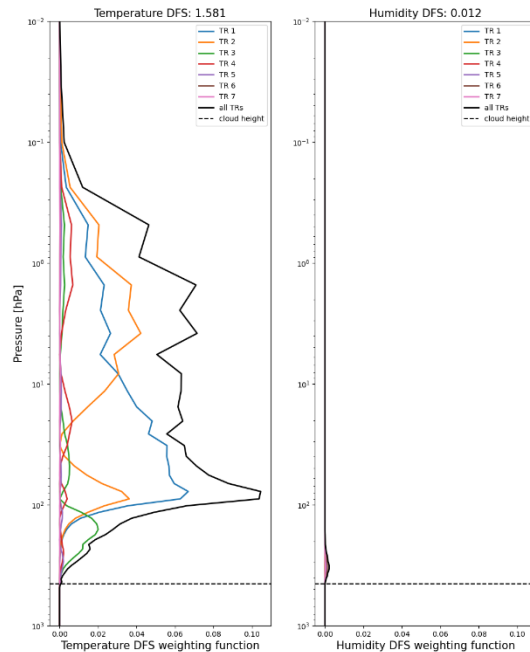
- Cumulative DFS weighting function:  $\mathbf{s} = \frac{d_s}{\tilde{d}_s} \sum_{i=1}^r \tilde{d}_{si} \tilde{\mathbf{v}}_i \circ \tilde{\mathbf{v}}_i$

# DFS weighting functions

Cloud-free location



# Overcast location

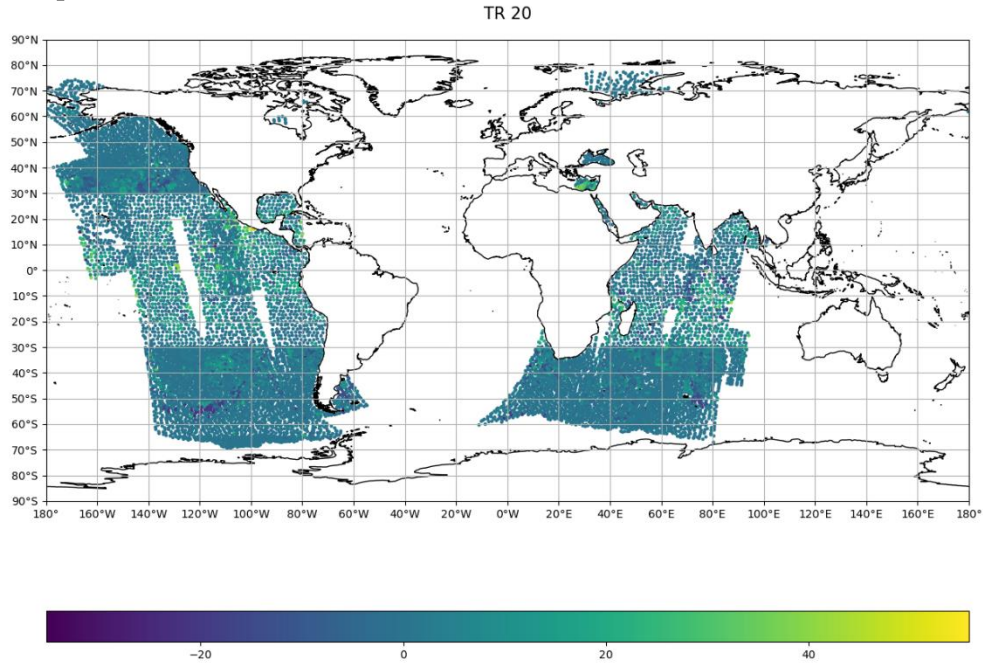


# Assimilation trials

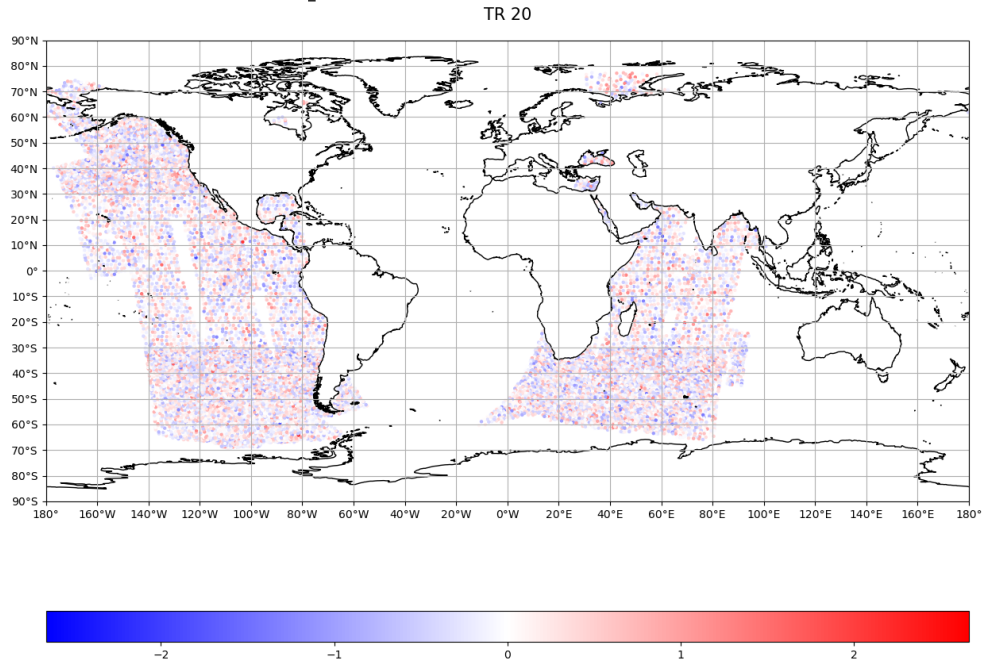
- Three-month trial with hybrid 4D-Var (no VarBC) from 1st December 2019 to 28th February 2020 using same observations (except for SSMIS and MWRI to avoid orbital bias) as those used in the Met Office operational DA scheme for the same period (in-situ or ground based, satellite products and satellite radiances including IASI – up to 175 radiances – on Metop-1(B) and Metop-3(C)): CTRL trial
- Same as CTRL except for replacing IASI rads with TRs: TR trial
- Same as TR trial but only assimilating  $y'_{\text{ret}_i}$  with  $\lambda_i > 0.1$ : TR\_L0p1 trial



# TR obs components

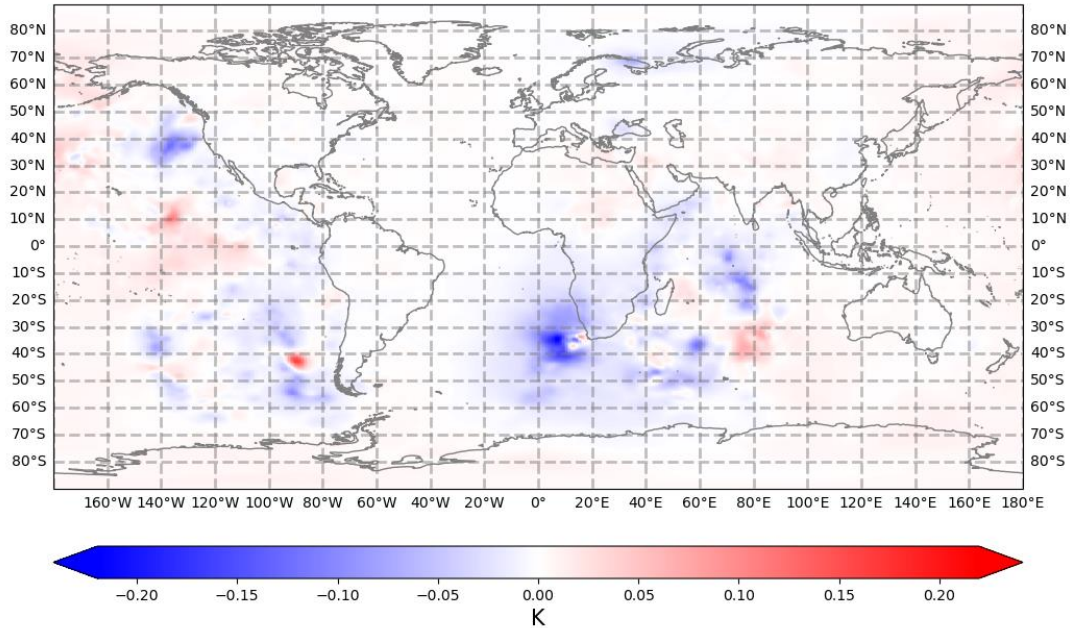


# TR innovation components



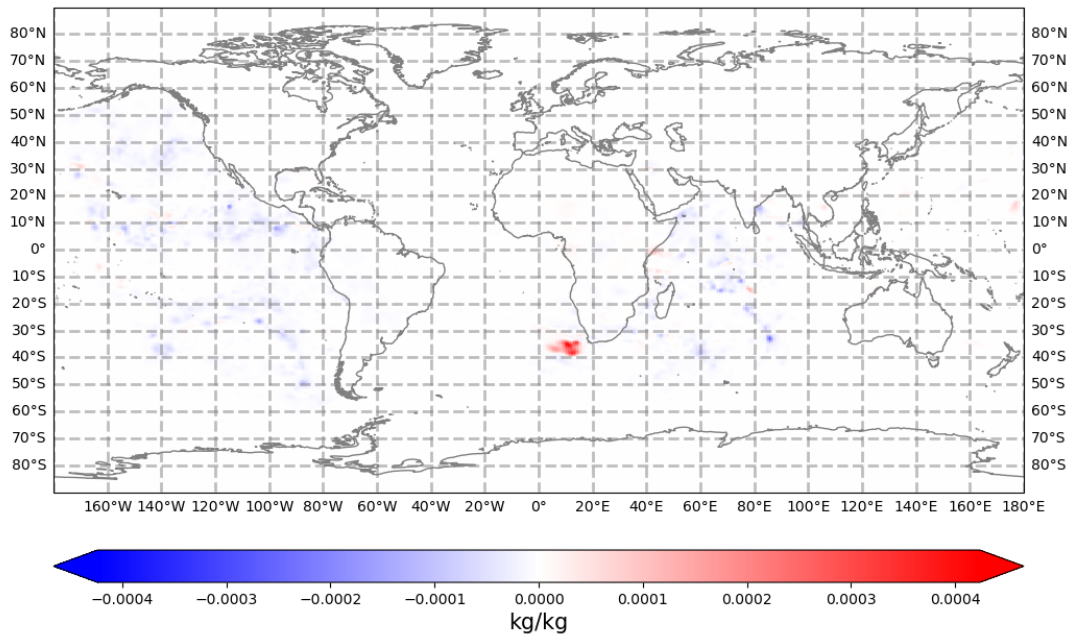
# Analysis increments: potential temperature

TR minus CTRL potential temperature analysis increments at 5220.0 - 2019-12-01 0300 UTC

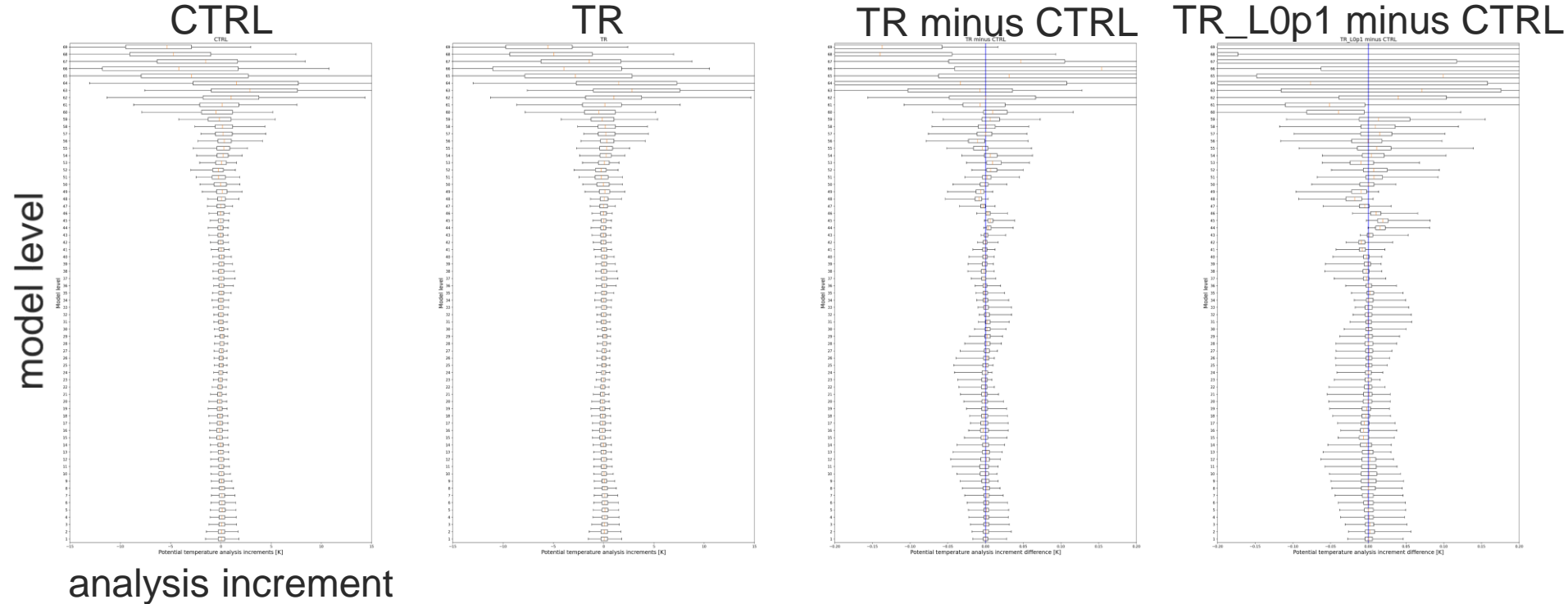


# Analysis increments: specific humidity

TR minus CTRL specific humidity analysis increments at 5220.0 - 2019-12-01 0300 UTC

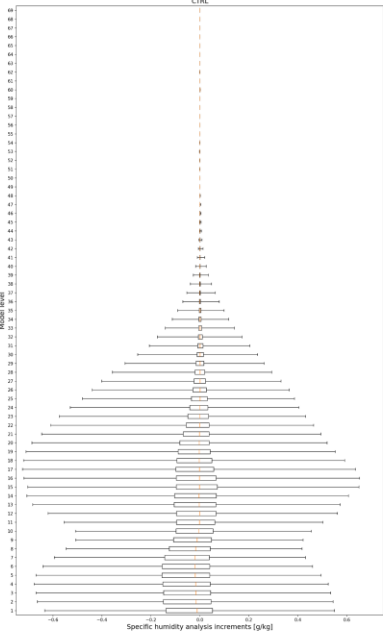


# Analysis increment distrib: potential temperature

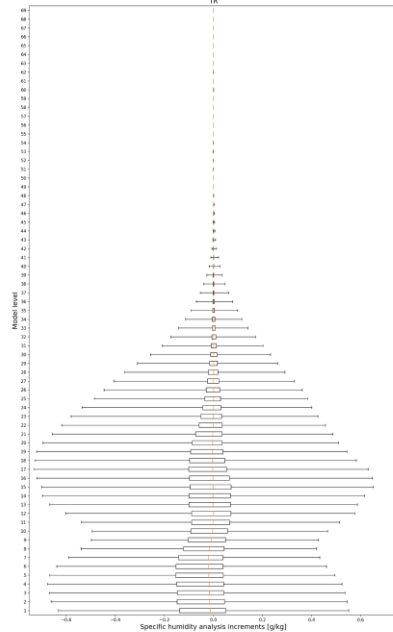


# Analysis increment distrib: specific humidity

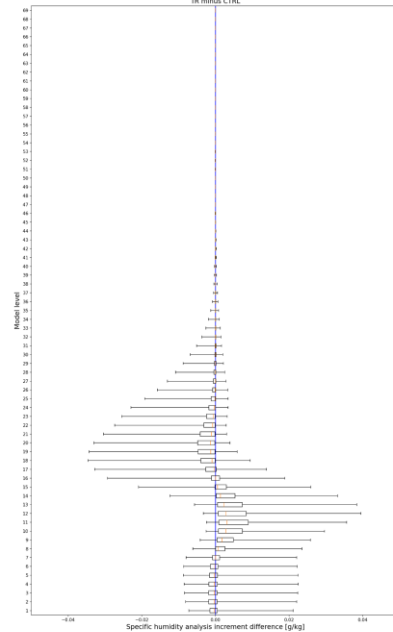
CTRL



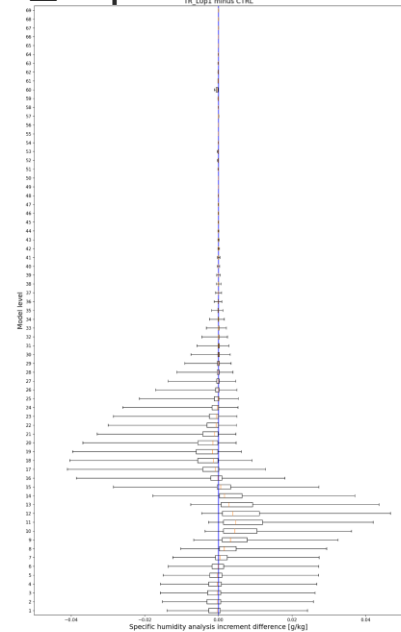
TR



TR minus CTRL



TR\_L0p1 minus CTRL

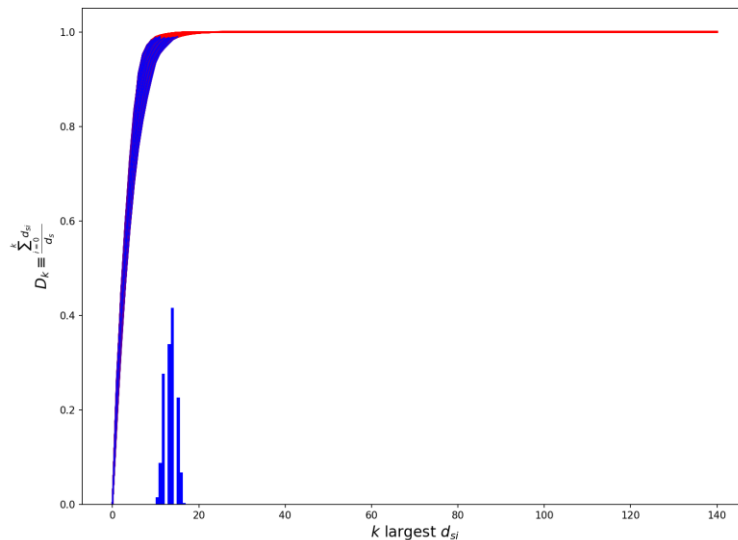


model level

analysis increment

# Information content of TR components

- Partial sums  $D_k$  of the  $k$  largest normalised degrees of freedom for signal for an ensemble of 3344 out of 13061 IASI observations in the first assimilation cycle with  $S$  with max rank (=140).
- Histogram of the number of TRs for the 3344 IASI obs with  $\lambda_i > 0.1$
- no more than 17 TR components with largest  $\lambda_i$  values are required to express from 97.9% up to 99.3% of the total number of DFS from each observation.



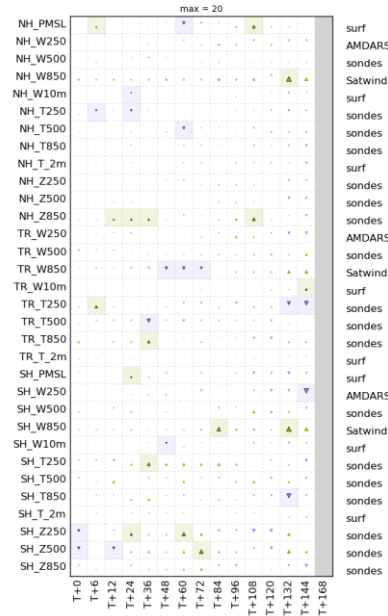




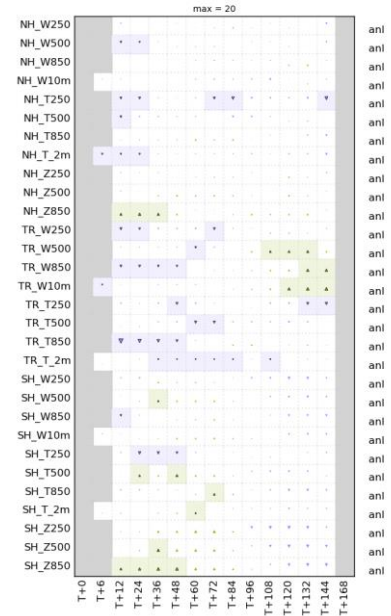
# Trial results: TR\_L0p1 vs. CTRL score cards

- Overall results: +0.01% (obs); -0.04% (ECMWF analyses)
- More uniform score results for different fields and lead times
- Overall abs diffs deemed not significant.
- Assimilation of fewer TR components does not change significantly comparison results

% Difference (static bias lambda > 0.1 TransRet vs. static bias control) - overall 0.01%,  
RMSE against observations for Equalized,  
20191215 00:00 to 20200228 12:00



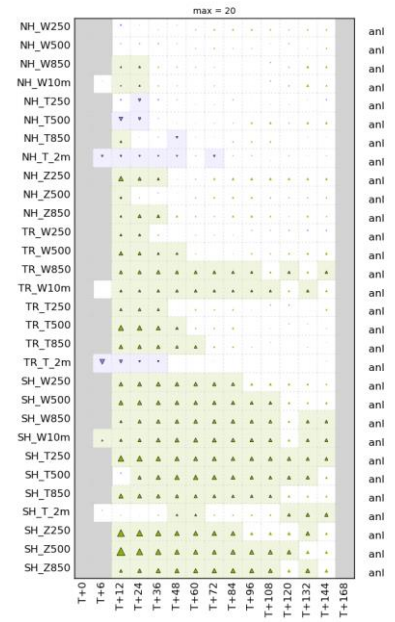
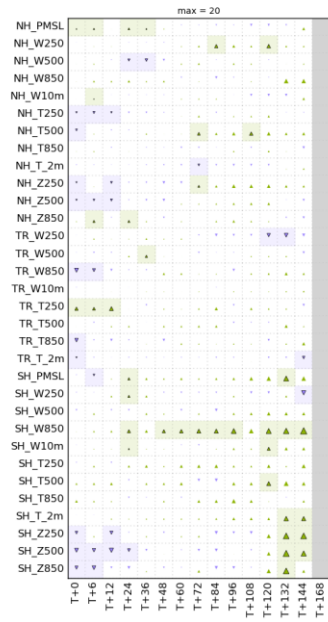
% Difference (static bias lambda > 0.1 TransRet vs. static bias control) - overall -0.04%,  
RMSE against ecanal for Equalized,  
20191215 00:00 to 20200228 12:00



# Trial results: CTRL / TR / TR\_L0p1 vs. CTRL\_NOIASI

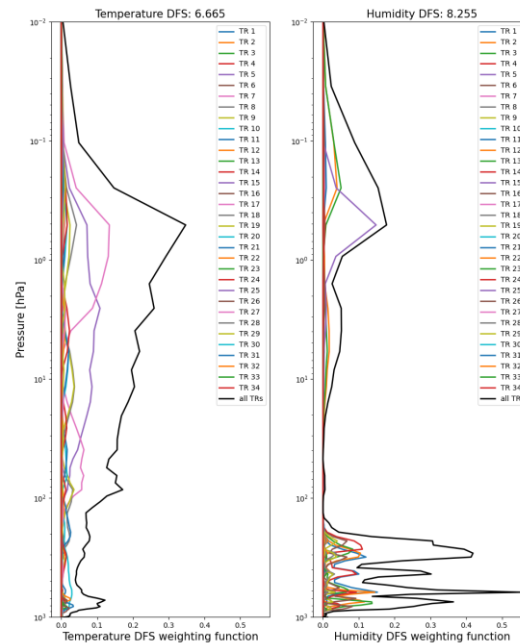
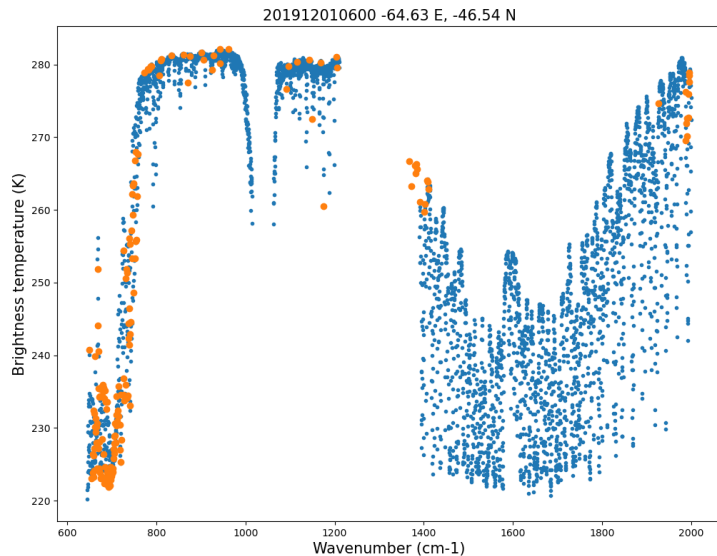
- New control trial where IASI obs are removed: CTRL\_NOIASI
- Test of capability of TRs to extract information from satellite instrument that is not assimilated
- No significant difference wrt assimilation of satellite radiances

% Difference (static bias lambda > 0.1 TransRet vs. static bias control no IASI) - overall 0.14%, RMSE against observations for Equalized, 20191215 00:00 to 20200228 12:00  
 % Difference (static bias lambda > 0.1 TransRet vs. static bias control no IASI) - overall 0.38%, RMSE against ecanal for Equalized, 20191215 00:00 to 20200228 12:00



# Exploiting more information from IR sensors

- DFSwfs for one cloud-free obs:  
175 vs. 4432 IASI channels



# Summary

- First detailed assessment of results from assimilation of Transformed Retrievals against direct assimilation of radiances using operational NWP system
- No significant differences in statistical distribution of analysis increments
- Information content of set of rads can be condensed in much fewer TR components
- Overall forecast skill differences from set of three-month trials  $< 0.05\%$
- Comparable skill gains when assimilating radiances or TRs from a “new” instrument (IASI)
- First tests with large number of channels indicate significant information gains can be achieved (esp. for humidity in clear sky) when considering ten-fold more channels
- Paper under review in QJRMS